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Solutions for Today | Options for Tomorrow

Regional Carbon Management Applicant Education Workshops

April 7, 2022





Financial Assistance vs. Acquisition

Financial Assistance

Public Benefit

- Funding Opportunity Announcement (FOA) under Financial Assistance
- Typically have cost share for projects that fall under Energy Policy Act (EPACT) 2005 (R&D projects 20% and Demonstration 50%)
- Issue as Cooperative Agreements or Grants
 - Cooperative Agreements include substantial involvement on the project by the Government
 - Grants include oversight of the project by the Government but not substantial involvement
- Profit and Fee NOT allowable
- Financial Assistance Regulations 2 CFR 200
 - Regulations that govern financial assistance awards



Acquisition

Meets Government Need

- Solicitations issued under Acquisition
- Federal Acquisition Regulation (FAR)-based contracting
- Cost Share not typically required
- Fixed-Price (preferred type) and Cost-Reimbursement type contracts are the most typical contract types
- Profit and Fee ARE allowable
- <u>Federal Acquisition Regulations</u>
 <u>(FAR)</u>
 - Regulations that govern acquisition activities (contracts) under the Federal Government.





What's the Difference? Request for Information, Notice of Intent, & Funding Opportunity Announcement

A Request for Information (RFI) is...

a request for information from stakeholders and the public that may be used by DOE for information gathering purposes. The information included may or may not be used to help the Government further define or refine the objectives for a potential FOA in the future.

A Notice of Intent (NOI) is...

a formal announcement to the public of the Government's intent to prepare a FOA. The NOI is for informational purposes only and public comment in response to the NOI is neither required nor desired. A Funding Opportunity Announcement (FOA) is...

an announcement that the Government is accepting applications for a particular research effort. The announcement includes all details needed to submit an application in which Financial Assistance awards will be issued.





Where are RFIs, NOIs, and FOAs posted?

NETL will update the following sites when RFIs, NOIs, and FOAs are issued:





Pre-Award Cost (Financial Assistance)

Cost incurred by the Recipient at risk until selected award is negotiated and awarded. Allowable pre-award cost is based upon the date the selection statement is signed.

See 2 CFR 200.458 Pre-Award Cost

Cost must be within scope, allowable, allocable, and reasonable associated with the selected project scope and in accordance with applicable cost principles.

> Contracting Officer (CO) approval is not typically required if such costs are incurred within 90 days of award being approved. CO approval is required for cost for anything outside of the 90-day period. See <u>2 CFR 200.308(e)(1)</u>





assistance process

Roles & Responsibilities



Integrated technical teams will define, solicit, negotiate, manage, and deliver federallysponsored energy research, development, and demonstration benefits to the nation.

Oversees day-to-day technical aspects associated with awards Corresponds with Recipient technical point of contact to **Federal Project** ensure completion of technical requirements and milestones Manager (FPM) Oversees day-to-day business management and non-technical aspects of awards Works closely with the Recipient business point of contact to resolve Contract Specialist (CS) or issues associated with award terms, conditions, & other business-related **Grants Management** activities Specialist (GMS) DOE official authorized to execute financial assistance awards **ONLY** individual authorized to award, obligate funds, and make official changes to financial assistance awards Oversees business management and non-program aspects of the financial Contracting Officer (CO) or



Grants Officer (GO)

Further details associated with the roles of the DOE Project team can be found in the DOE Guide to Financial Assistance

² For more information about this process, visit this site.



Actions to be Completed Prior to Submitting a FOA Application

Please allow at least 44 days to complete the following processes:

01	02	03	04
System for Award Management (SAM)	Obtain a Unique Entity Identifier (UEI)	Register with Grants.gov	Register with FedConnect
Register with the SAM. One MUST be registered with SAM to submit an application. ¹ ¹ For more information on SAM, visit this <u>site</u> .	Applicants MUST obtain a UEI from SAM to uniquely identify the entity. This has replaced the DUNS number as the primary of entity identification for Federal Awards. The UEI is already available in the SAM entity registration record. NOTE: Subawardees and subrecipients must also obtain a UEI from SAM and provide the UEI to the Prime Recipient before the subaward can be issued.	 Authorized Organizational Representative must register here. An email is sent to the E- Business (E-Biz) POC listed in SAM. The E-Biz POC must approve the AOR registration using their MPIN from their SAM registration. AOR verifies that registration was completed. 	Register with FedConnect® to submit questions.

U.S. DEPARTMENT OF



Accessing NETL FOAs and Solicitations in FedConnect®

Enter the FedConnect® URL (<u>www.fedconnect.net</u>) in an internet browser. On the Main Page, click on the **Search Public Opportunities Only** button.



Do you want the US federal government to buy your products or services? Or, are you seeking grants or assistance funding?

FedConnect can help. Every day, FedConnect helps over 100,000 vendors and grant applicants, find, respond to and win opportunities for contracts, grants, and other types of assistance funding. To learn more about how FedConnect works, click here to review the tutorial.



Need help?

Check Registration Status





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Under **Search Criteria**, select **Issuing Office** and type "**NETL**". Click on **Search**, then click the **Title** of the announcement to view.







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Click on **BODY** to view the FOA or Solicitation instructions.

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pportunity: Ben	ch-Scale and Sli	pstream Development and Testing	
Description		What do I do now?	Documentation
Applications are sough development and testin development and testin post-combustion CO2 technologies. Carbon of systems can be divided	at for bench-scale ng; and slipstream ng of advanced capture dioxide capture d into three general ↓	This is the opportunity summary page. To the left you will see a description and an overview of this opportunity. To the right you will see a list of the attached documentation. To view any of the attachments, simply click the attachment name.	
Overview		the Sign In button below.	E FOA 0000131 Amendment 1
Reference number: Issue date: Response due:	DE-FOA-0000131 10/23/2009 12/15/2009 08:00 PM US/Eastern	Sign In	





Accessing NETL FOAs and Solicitations in FedConnect® cont.

The file will automatically download and you will be prompted to either Open or Save As the file. Click **Open**. The file will open in your Word processor.







Accessing NETL FOAs and Solicitations in FedConnect® cont.

Click on **Register Now** to submit questions to the agency, receive emails concerning updates and amendments, create and manage a response team, and submit responses directly through this site.

Description		What do I do now?	Documentation
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Overview		To register interest in this opportunity or to electronically respond, you must first sign in. Click the Sign In button below.	E FOA 0000131 Amendment
Reference number: Issue date: Response due:	DE-FOA-0000131 10/23/2009 12/15/2009 08:00 PM US/Eastern	Sign In	
Set Aside: NAICS: PSC / FSC:	0000	Non Registered Users You can view this or any other public opportunity. However, registered users have numerous added benefits including the ability to submit questions to the agency, receive emails concerning updates and amendments, create and manage a response team and submit responses directly through this site.	
Agency:	DOE	Becoming a registered user is fast, free and takes only a few minutes. To get started, click the	



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To submit questions about this FOA or Solicitation, sign in to FedConnect® by clicking Sign In.

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If someone at your company has already submitted questions, click **Join** to join the Response Team.

FedCon	nect	Helo My Profile	Company Profile Sign Out
NATIONAL ENERG	y: Recovery A	act-Transportation Electrification	
Description The Department of National Energy Te Laboratory (NETL), Office of Energy Ef Renewable Energy Vehicle Technologi	Energy (DOE) echnology , on behalf of the fficiency and 's (EERE) Office of ies (OVT) Program,	This is the opportunity summary page. To the left you will see a description and an overv of this opportunity. To the right you will see a list of the attached documentation. To view any of the attachments, simply click the attachment name. You will also see the current response team for your company. To participate on this response team, click the Join button.	ew
Overview Reference number: Issue date: Response due: NAICS: PSC / FSC:	DE-FOA-0000028 03/19/2009 05/13/2009 08:00 PM ET	Return to Opportunity List	Response Team
Agency: Issuing office: NETL - Morganto U.S. Department NETL 3610 Collins Ferr P.O. Box 880	DOE - DOE of Energy y Road		



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The Inbox will contain replies to questions already submitted by other vendors. To submit a question, click on **New** in the Inbox.









