

# **Scrubbing Carbon Dioxide From Ambient Air**

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K S Lackner has co-founded GRT LLC, a company started to explore the feasibility of air capture. He is an advisor to its successor organization Kilimanjaro Energy, Inc. He has an ownership stake in the company

Introduction

# **CONTEXT FOR AIR CAPTURE**

# Plentiful Sustainable Energy

Energy is central to human well-being

World needs affordable, plentiful and clean energy for all

Clean energy can overcome many sustainability limits

Atmospheric CO<sub>2</sub> level must be stabilized

Fossil carbon is not running out



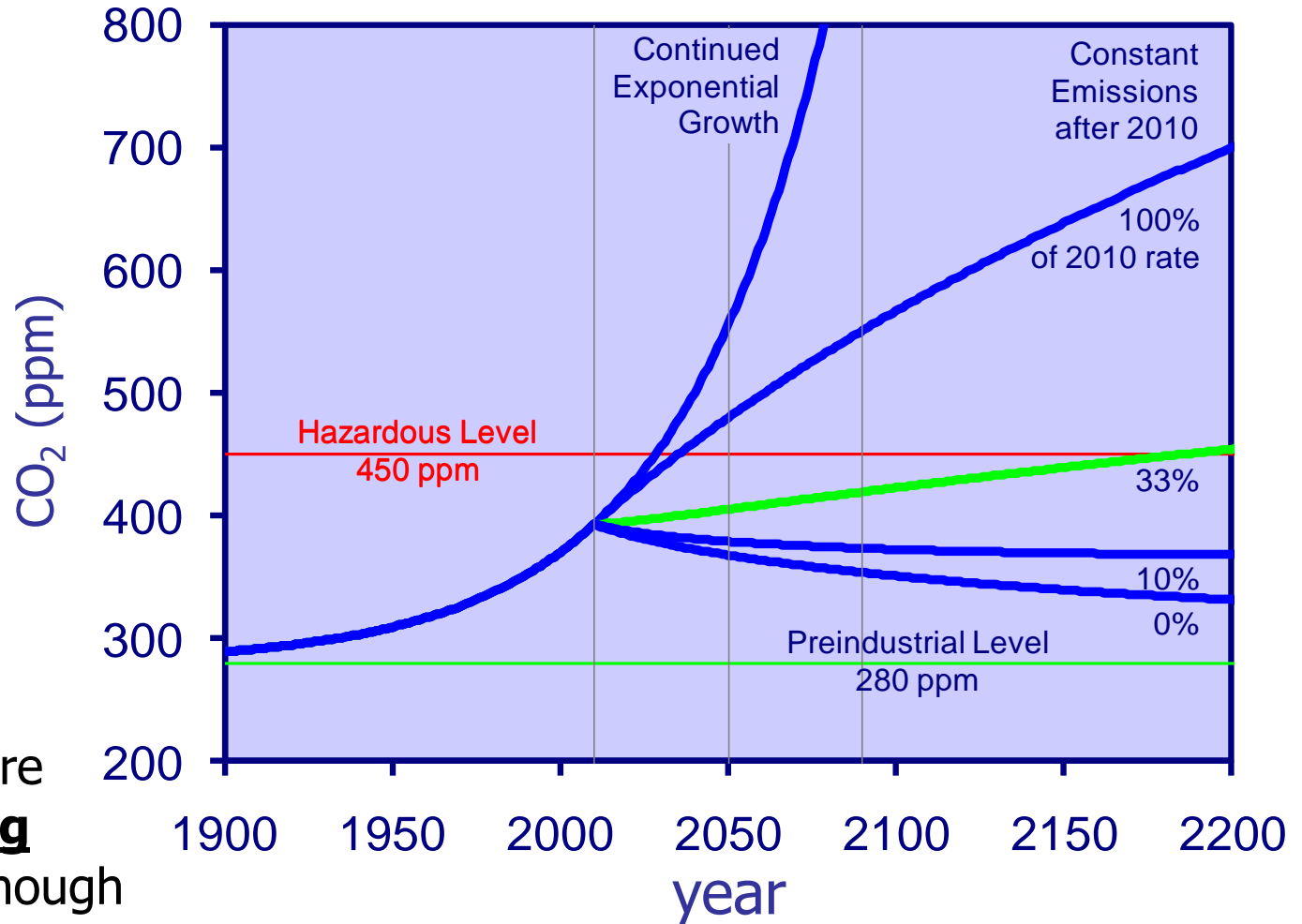


# The Big Three Energy Options



# Environmental Limits – Not Resource Limits

## Stabilize CO<sub>2</sub> concentration – not CO<sub>2</sub> emissions



ocean-air-biosphere  
reservoir is not **big**  
enough or **fast** enough  
to handle excess carbon

# A different look at the carbon cycle

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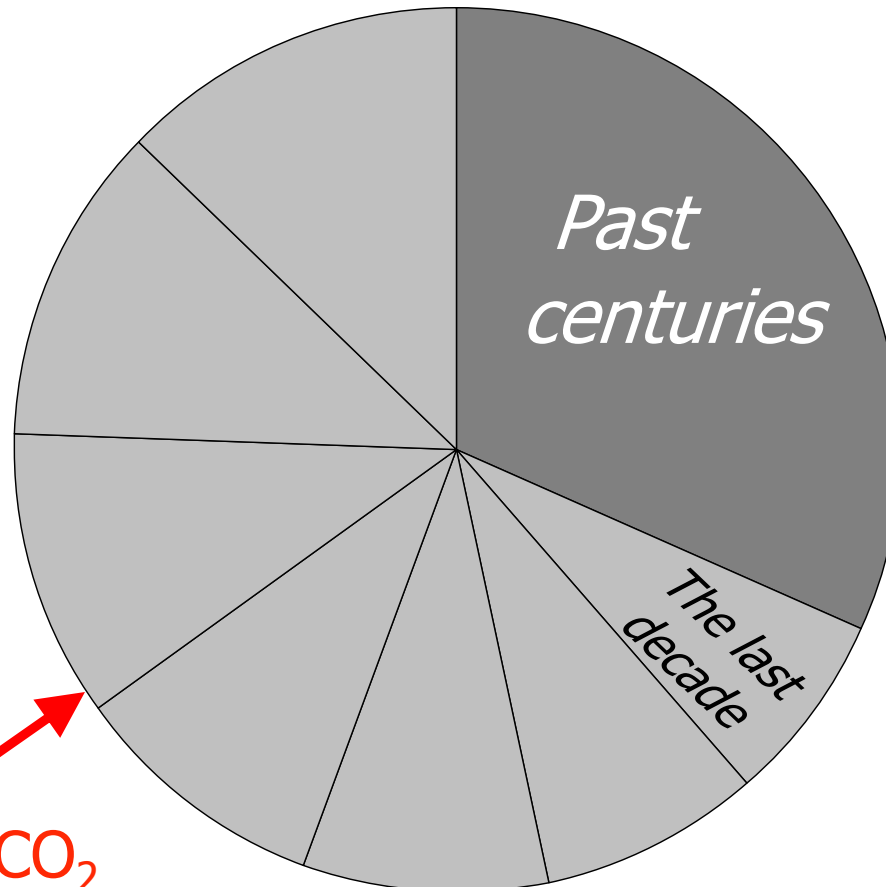
- **The concept of a single CO<sub>2</sub> lifetime in air is misleading**
- **CO<sub>2</sub> is extremely persistent**  
**... thermal effects linger even longer**
- **It is not about stabilizing emissions**  
**...but about eliminating all emissions**

**The “drain in the bathtub” clogs up  
as the tub is filling**

# Stock vs. Flow – Simple Calculus

Carbon dioxide capacity of the atmosphere is fixed  
1 ppm  $\sim$  4 Gt C, 15 Gt CO<sub>2</sub> (50% remains in the atmosphere)

*900 Gt C*  
*total*



1 trillion tons of CO<sub>2</sub>

500 ppm



# The personal carbon allowance

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~ 30 tons C for every person will lead to 450 ppm  
Total permanent allotment





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**Without carbon capture and storage fossil fuels will have to be phased out**



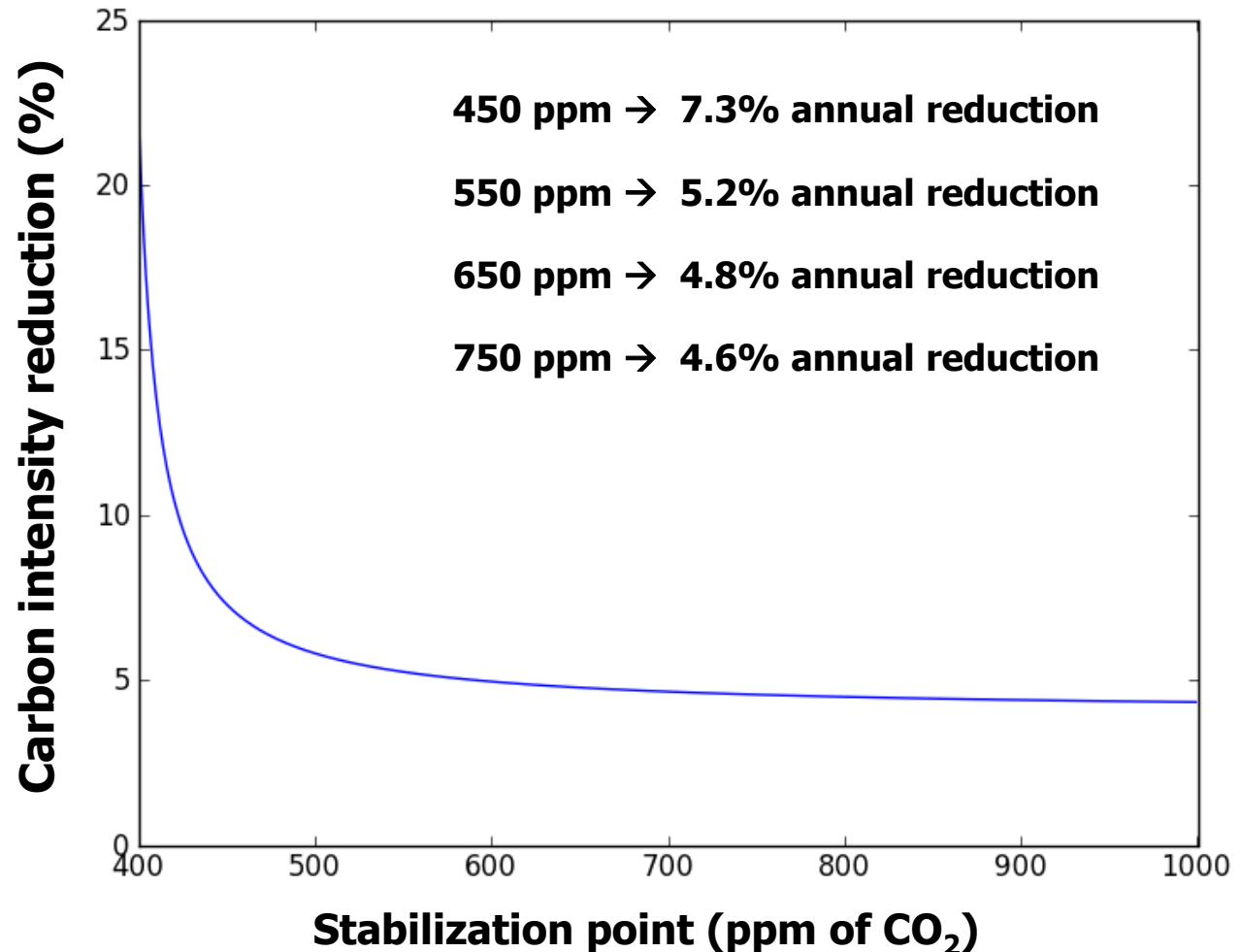
**For every ton of fossil carbon extracted from the ground another ton will have to be returned**



**All carbon dioxide emitted to the air will need to be recaptured**

# Economy must decarbonize fast

Annual reduction in the world's carbon intensity (CO<sub>2</sub>/GDP)



Must overcome **3% economic growth plus 1% population growth**

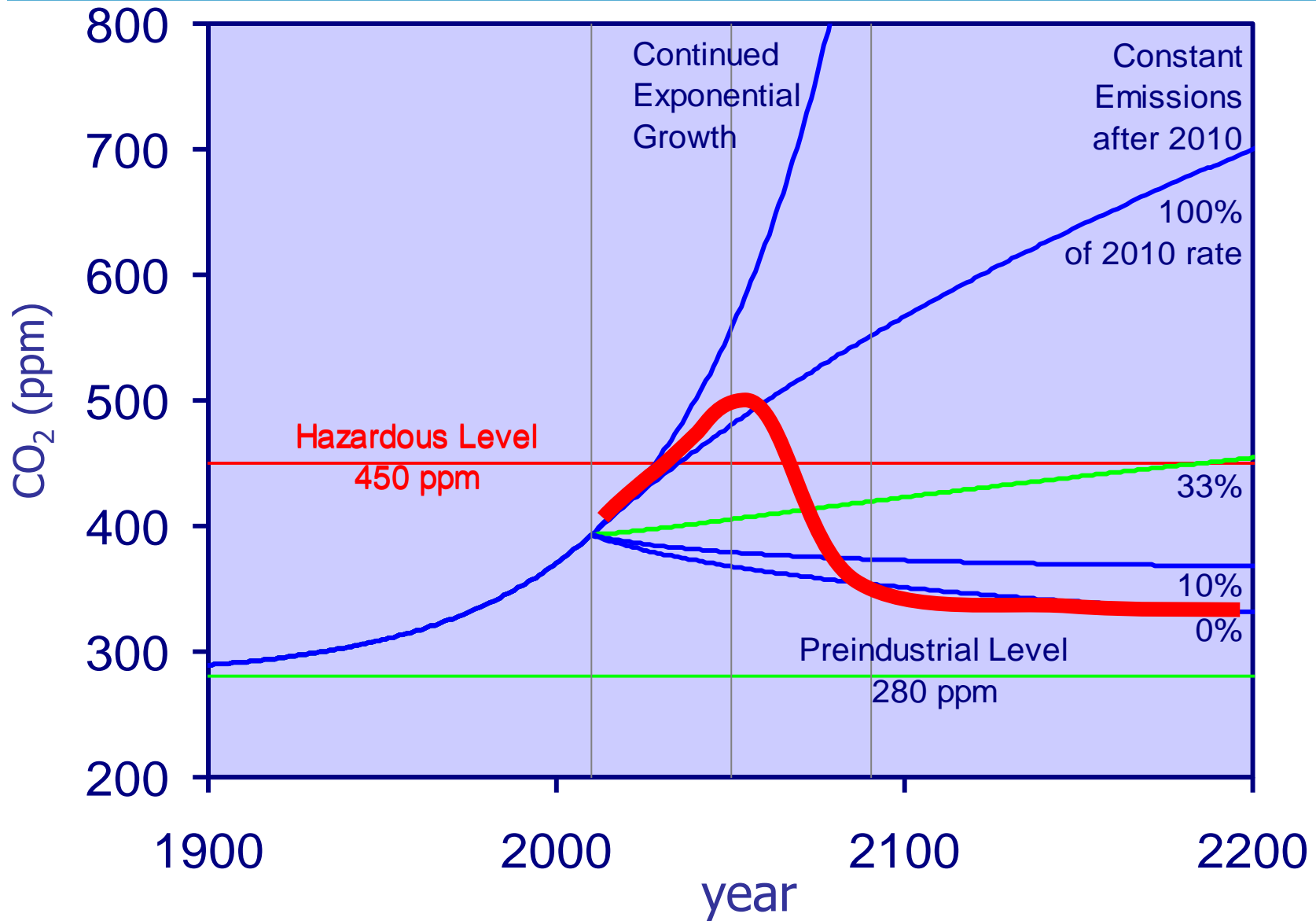
# IPCC: Need for Negative Emissions

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- **Negative emissions require carbon storage**
  - Safe permanent, and large capacity
  - Needs more than natural processes
  - Needs more than biomass growth
- **Negative emissions require capture from air**
  - Needs more than biomass capture
  - Cannot be solved with power plant capture
- **Need for storage capacity is potentially large**
  - 100 ppm reduction requires 1500 Gt of CO<sub>2</sub> storage

**Ask when – not if – CCS is needed**

# Coming back down?



# Technology solutions for climate

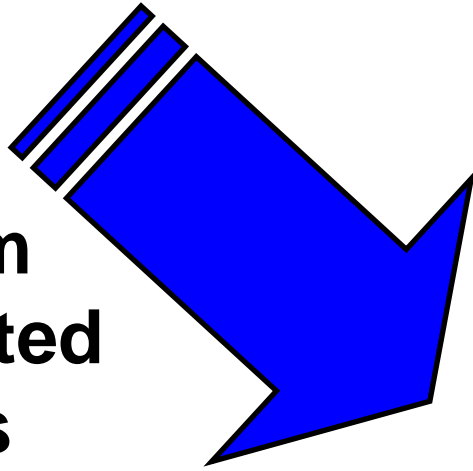
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- **Need to advance carbon management**
  - Closing the carbon cycle is a necessity
  - Shrinking the carbon cycle is an option
  - Nevertheless, we are committed to 1500 Gt of CO<sub>2</sub> disposal
- **Need to go beyond conventional solutions**
  - More than retrofits
  - More than one storage option
  - More than energy alternatives
  - More than energy efficiency
- **Need to operate at a formidable scale**
  - 100 ppm reduction is more than 20<sup>th</sup> century emission
  - 1500 Gt is 1/3 of the mass in Lake Michigan
  - Building a new industry in thirty years



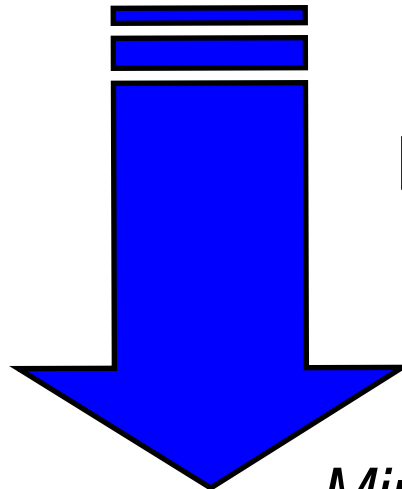
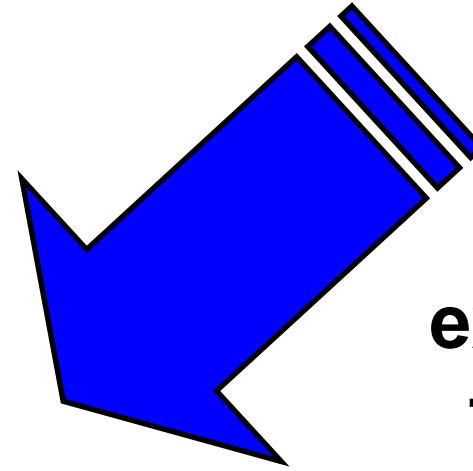
# Net Zero Carbon Economy

**CO<sub>2</sub> from  
concentrated  
sources**



*Capture from power  
plants, cement, steel,  
refineries, etc.*

**CO<sub>2</sub>  
extraction  
from air**



**Permanent &  
safe  
disposal**  
*Geological Storage  
Mineral carbonate disposal  
Ocean disposal*

# Power plant capture is not enough

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- **A 70% reduction of a 30% contributor cannot achieve a 90 to 100% reduction**
  - Point sources only cover half of all emissions
  - Negative emissions require a new approach

# Biomass capture is not enough

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- **Agriculture satisfies human metabolism**
  - About 100 Watt per person
- **Can it provide primary energy demand?**
  - US: 10,000 Watt per person
- **Three way collision between**
  - Food supply
  - Energy demand
  - Environmental impact

**Operating at the human energy scale is  
a severe challenge**

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Basic concepts

# **AIR CAPTURE CONCEPT**



*Air extraction can  
compensate for CO<sub>2</sub>  
emissions anywhere*

**separates sources from sinks**

**allows for negative emissions**





# Air capture provides options

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- **Maintaining access to fossil fuels**
  - Air capture as part of CCS
  - Focus on dispersed and mobile sources
  - Complementing power plant capture
- **Air capture with non-fossil energy**
  - Allowing liquid fuels in the transportation sector
  - Synthetic fuel production from CO<sub>2</sub> and H<sub>2</sub>O
  - Requires cheap non-fossil energy
- **Air capture for drawing down CO<sub>2</sub>**
  - First emissions must be stopped or canceled out
  - No excuse for procrastination

# Three Rules for Technological Fixes

## D. Sarewitz and Richard Nelson:

Three rules for technological fixes, *Nature*, 2008, 456, 871-872

- I. The technology must largely embody the cause-effect relationship connecting problem to solution.**
- II. The effects of the technological fix must be assessable using relatively unambiguous or uncontroversial criteria.**
- III. Research and development is most likely to contribute decisively to solving a social problem when it focuses on improving a standardized technical core that already exists.**

In contrast, direct removal of CO<sub>2</sub> from the atmosphere — air capture — satisfies the rules for technological fixes. Most importantly, air capture embodies the essential cause–effect relations — the basic go — of the climate change problem, by acting directly to reduce CO<sub>2</sub> concentrations, independent of the complexities of the global energy system (Rule I). There is a criterion of effectiveness that can be directly and unambiguously assessed: the amount of CO<sub>2</sub> removed (Rule II). And although air-capture technologies have been remarkably neglected in both R&D and policy discussions, they nevertheless seem technically feasible (Rule III).

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Concerns of the Critics

# **FEASIBILITY**

# Feasibility?

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**CO<sub>2</sub> in air is dilute and air is full of water**



**The air carries 10 to 100 times as much H<sub>2</sub>O as CO<sub>2</sub>**

# Air capture $\neq$ flue gas separation

- **APS Study (Socolow et al.)**
  - Too difficult, too costly, not practical
  - \$600 per ton of CO<sub>2</sub>
- **House et al.**
  - Dilution is too extreme
  - Separation technology cannot be extrapolated
  - Second law efficiency unavoidably deteriorates
- **Conclusion: Don't try to extrapolate**
  - Conventional technologies will have difficulties
  - Too much of an extrapolation
  - Extrapolation raises costs and uncertainties



**Need non-conventional approach from the start**



# Out of the box thinking

No direct path ...

... from here ...



... to there

**Start from first principles  
and  
air capture is feasible**

# Inspiration comes from nature



# Technical challenges of air capture

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- **Move huge volumes of air cheaply**
  - This is the term Sherwood warned about
- **Make good contact at low pressure drops**
  - Like a tree, like a lung, passive designs are favored
- **Avoid water capture**
  - There is far more water than CO<sub>2</sub> in the air
- **Avoid emissions of entrained liquid, vapors etc.**
  - Need to make air cleaner
- **Avoid expensive energy**
  - Low grade heat, water evaporation, wind energy
- **Find ways of bootstrapping from small niche markets**
  - Start small and grow
- **Take advantage of learning**



# Wind energy – Air capture



**Air collector reduces net CO<sub>2</sub> emissions much more than equally sized windmill**

**Extracting 20 J/m<sup>3</sup> seems feasible**

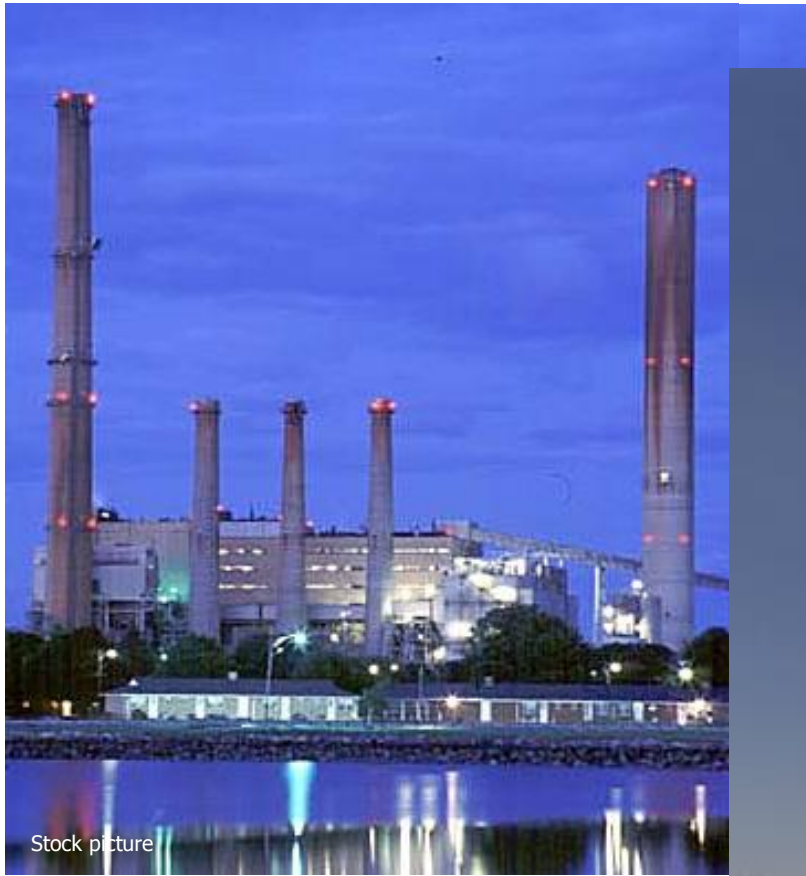


**Wind energy  
~20 J/m<sup>3</sup>**

**CO<sub>2</sub> combustion  
equivalent in air  
10,000 J/m<sup>3</sup>**

**Passive contacting  
of the air is  
inexpensive**

# Flue Gas Scrubbing – Air Capture



**Only binding energy to the resin is affected by the initial CO<sub>2</sub> concentration**

**Dominant costs are similar for air capture and flue gas scrubbing**

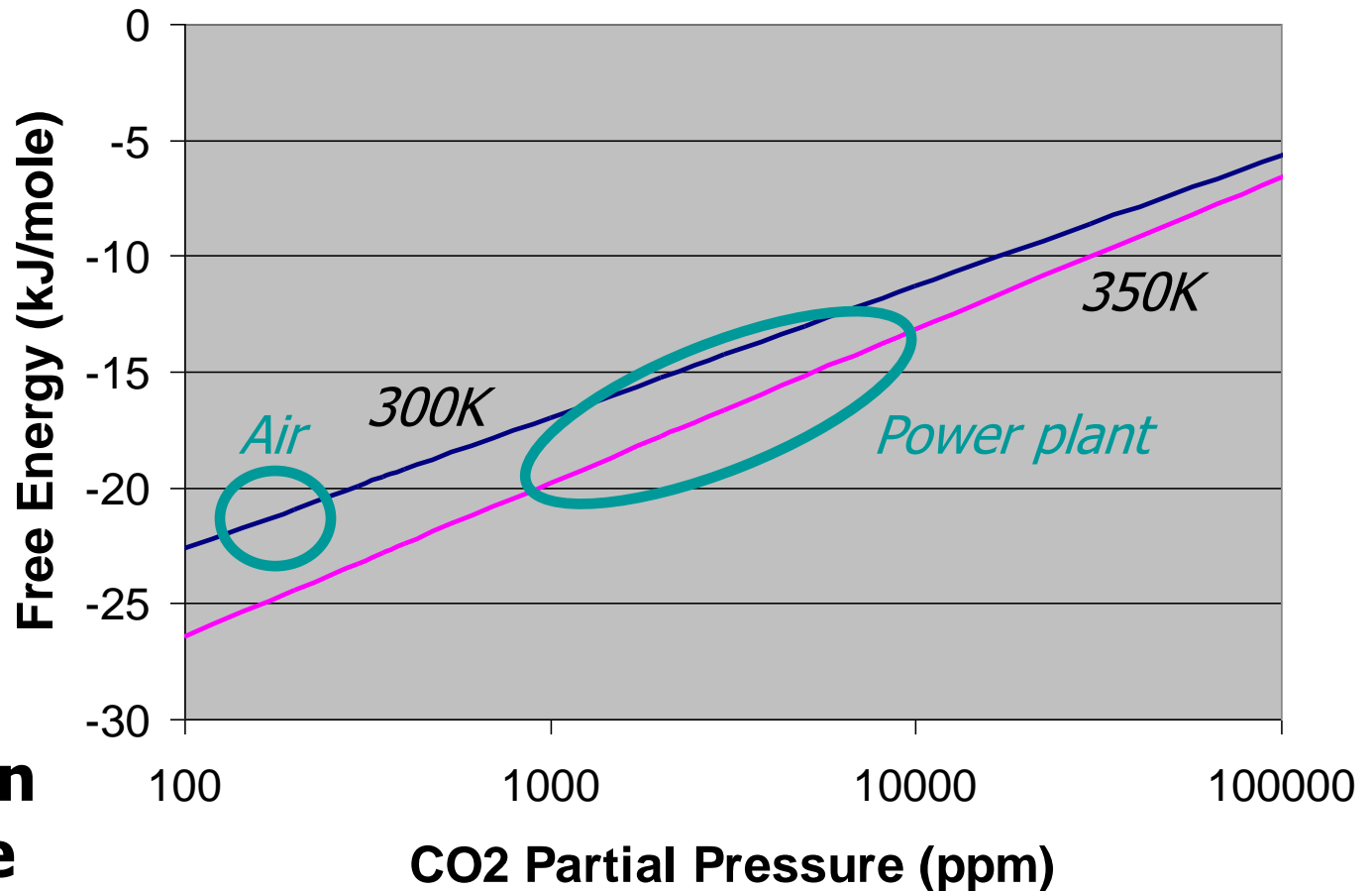
**Sorbent regeneration slightly more difficult for air capture than for flue gas scrubbers, effort scales with log of the dilution**



# Sorbent Strength

depends logarithmically on CO<sub>2</sub> concentration at collector exit

$$\Delta G = RT \log P/P_0$$

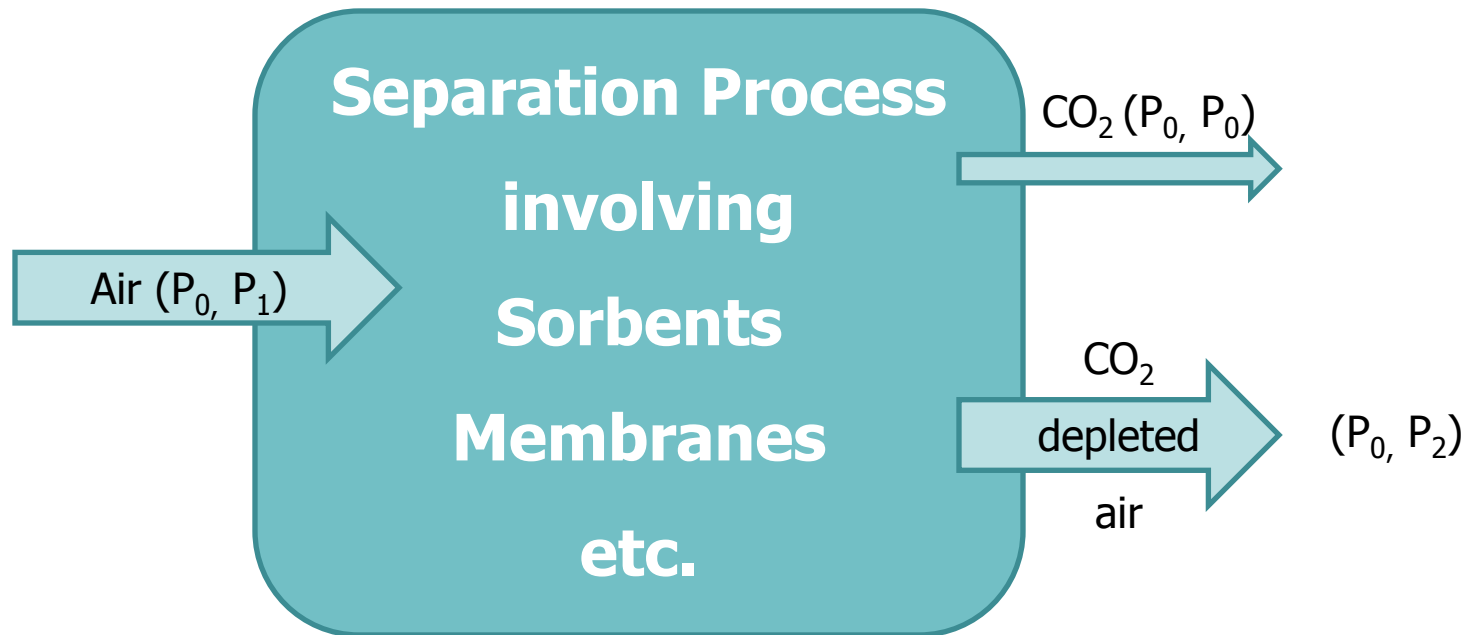


**Sorbent regeneration is expensive**

# Thermodynamics works

Theoretical minimum free energy requirement for the regeneration is the free energy of mixing

Gas pressure  $P_0$   
 CO<sub>2</sub> partial pressure  $P_x$   
 Denoted as  $(P_0, P_x)$

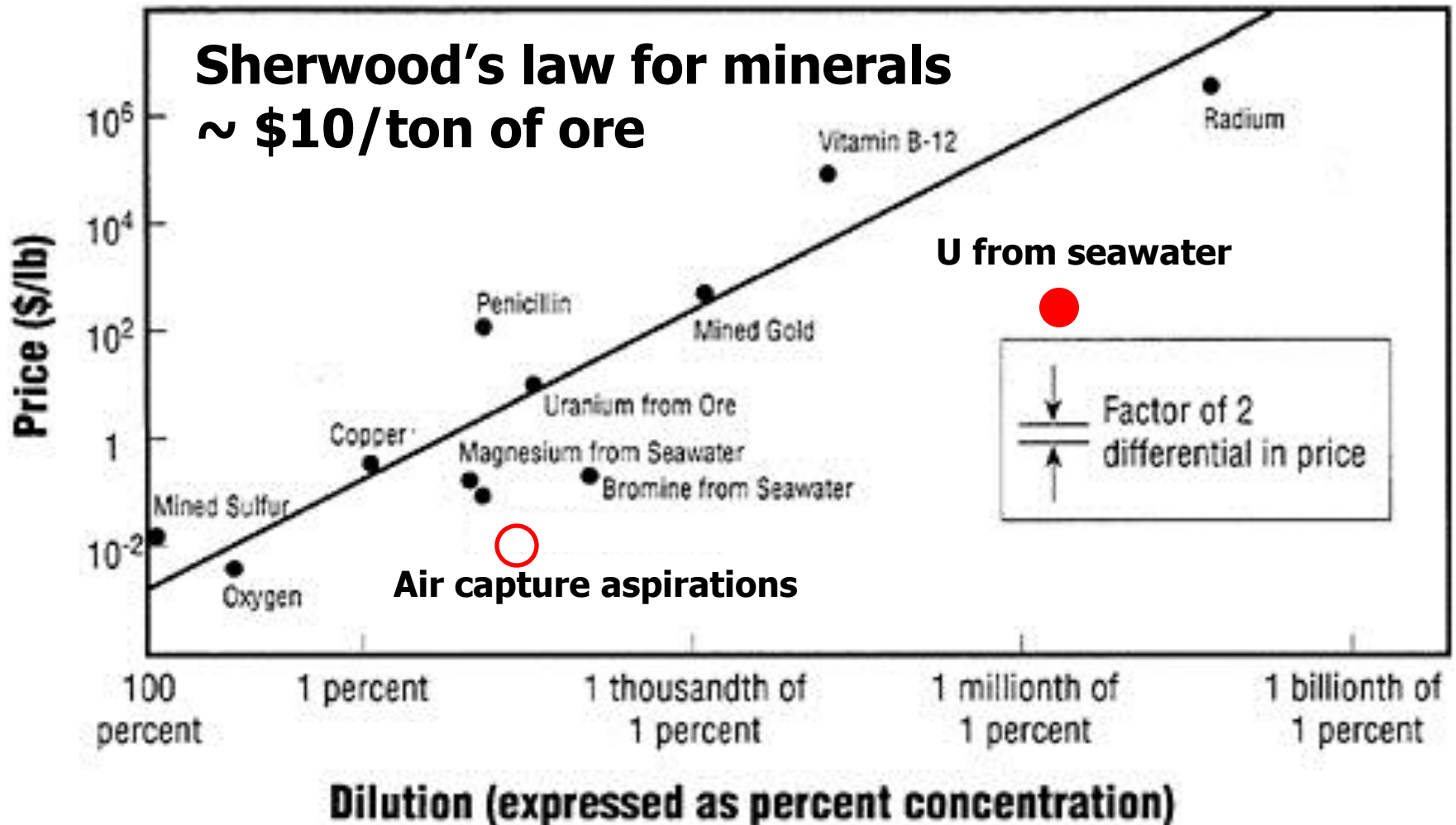


$$\Delta G = RT \left( \left( \frac{P_0 - P_2}{P_1 - P_2} \right) \frac{P_1}{P_0} \ln \frac{P_1}{P_0} - \left( \frac{P_0 - P_1}{P_1 - P_2} \right) \frac{P_2}{P_0} \ln \frac{P_2}{P_0} + \left( \frac{P_0 - P_1}{P_0} \right) \left( \frac{P_0 - P_2}{P_0} \right) \frac{P_0}{P_1 - P_2} \ln \frac{P_0 - P_1}{P_0 - P_2} \right)$$

Specific irreversible processes have higher free energy demands

# Capture of CO<sub>2</sub> from ambient air

not your run-of-the-mill separation problem



# Artificial kelp to absorb uranium from seawater

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- **Passive, long term exposure to water**
  - Braids of sorbent covered buoyant plastic
  - Anchored to the floor
  - Replaced initially active systems
- **Low energy sorbent**
  - Laminar flow over sorbent
  - Uptake is limited by boundary layer transport
- **Regeneration**
  - After harvesting the strings
- **Gross violation of Sherwood's Law**
  - Cost estimates range from \$200 to \$1200/kg
  - Sherwood \$3 million/kg



wikipedia

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$$\text{Cost} = aD + b + c \log(D)$$

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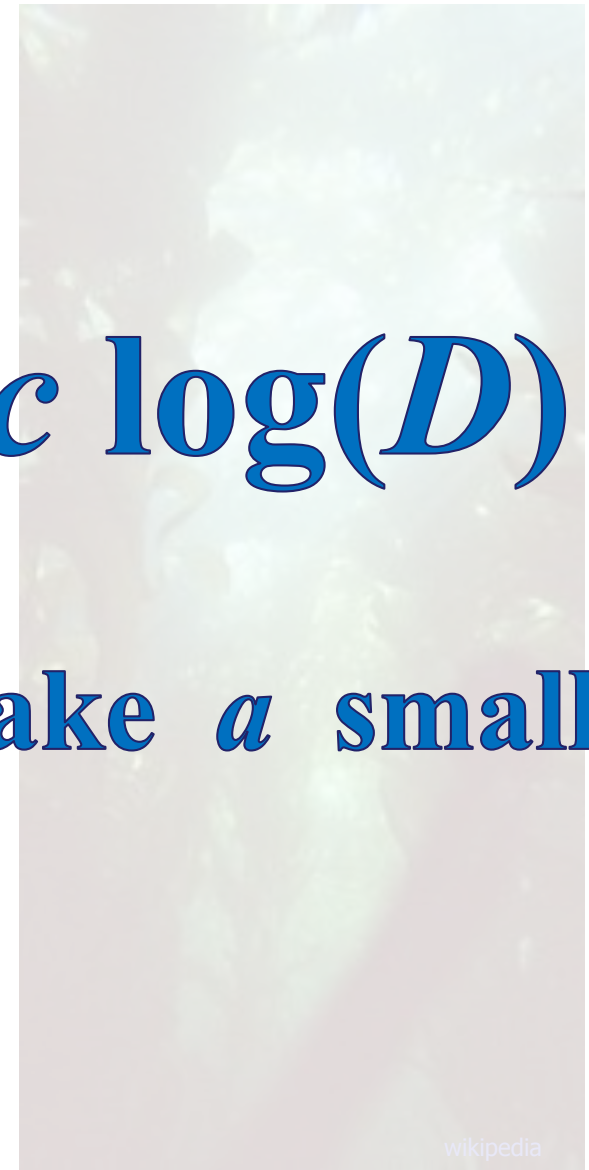
- **Regeneration**

- After harvesting the strings

**must make  $a$  small**

- **Gross violation of Sherwood's Law**

- Cost estimates range from \$200 to \$1200/kg
- Sherwood \$3 million/kg



wikipedia

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An Example Technology

# **OUR APPROACH TO AIR CAPTURE**

After initial work at both  
Los Alamos and Columbia

GRT\* demonstrated air capture  
in Tucson in 2007\*\*

**Klaus Lackner**  
**Allen Wright**  
**Gary Comer**

Proof of principle



\*Now Kilimanjaro Energy, Inc.

\*\*KSL is an advisor the company



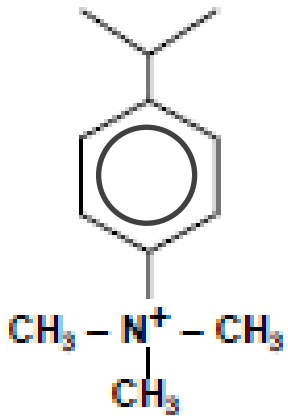


# Anionic Exchange Resins

Solid carbonate "solution"

Quaternary ammonium ions form strong-base resin

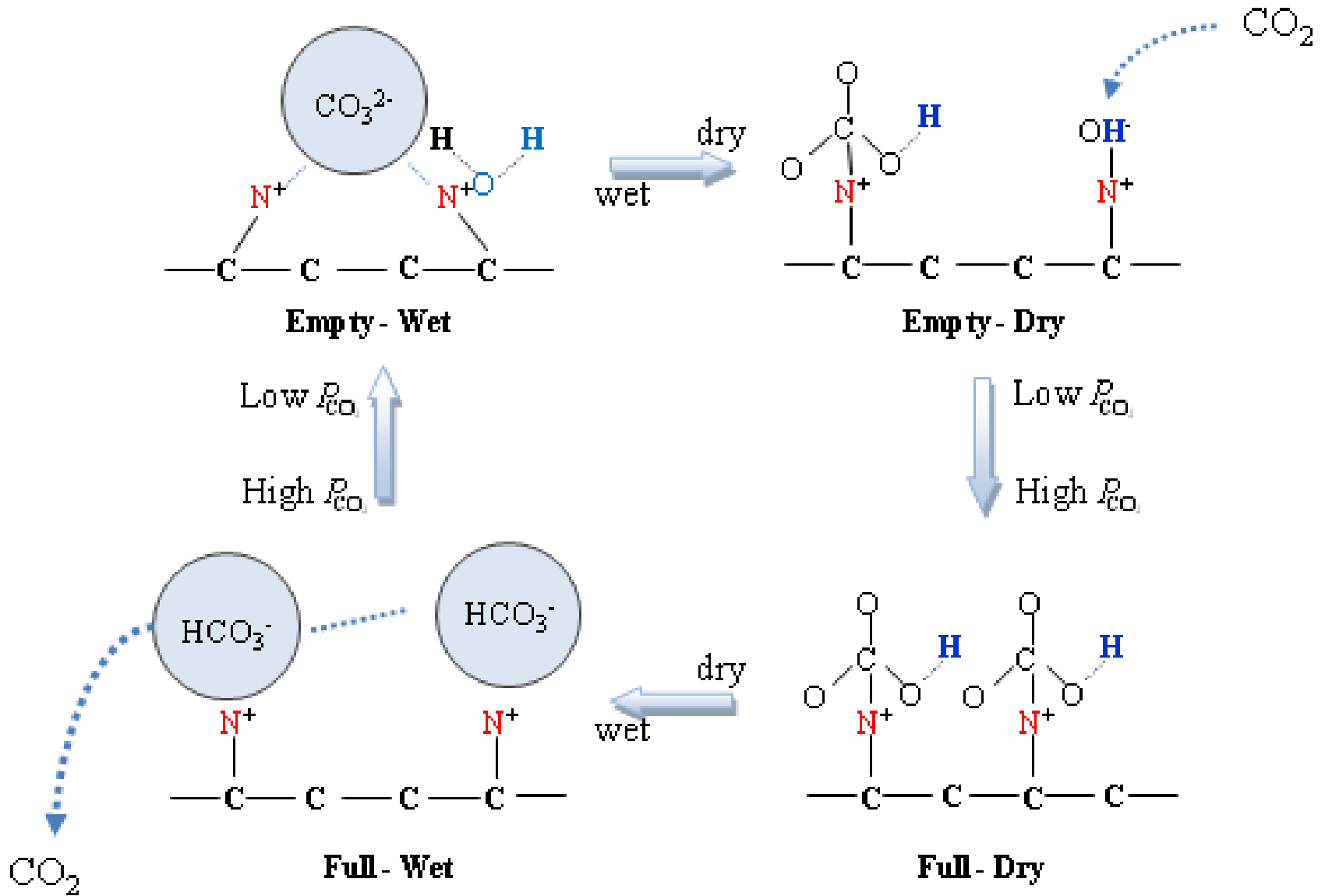
## Type I Strong Base Resins



- Positive ions fixed to polymer matrix
  - Negative ions are free to move
  - Negative ions are hydroxides, OH<sup>-</sup>
- Dry resin loads up to bicarbonate
  - OH<sup>-</sup> + CO<sub>2</sub> → HCO<sub>3</sub><sup>-</sup> (hydroxide → bicarbonate)
- Wet resin releases CO<sub>2</sub> to carbonate
  - 2HCO<sub>3</sub><sup>-</sup> → CO<sub>3</sub><sup>-</sup> + CO<sub>2</sub> + H<sub>2</sub>O

**Moisture driven CO<sub>2</sub> swing**

# The moisture swing – water driven



# Sorbent material

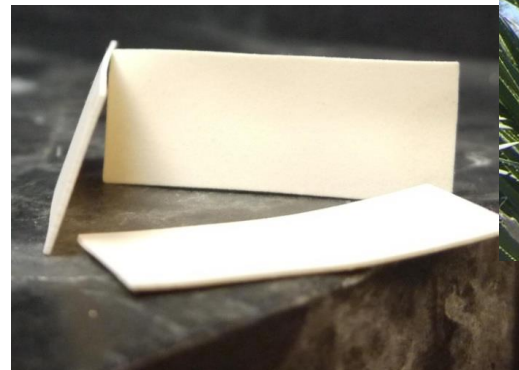
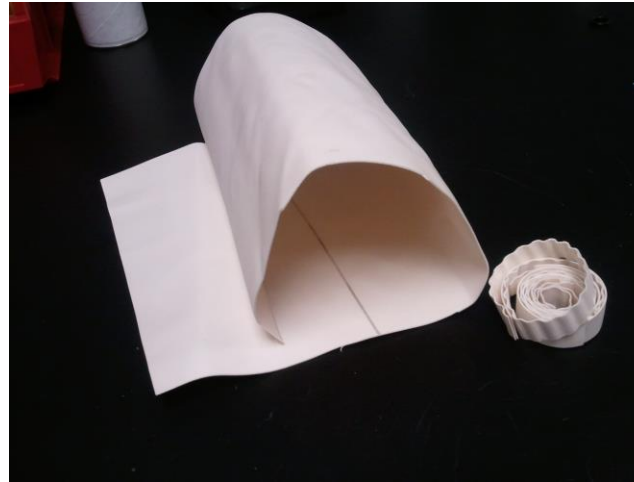
**thin sheets**

**Snowpure  
electrochemical membrane  
(1mm thick)**

**Polypropylene matrix with  
embedded fine resin  
particles (25 $\mu$ m)**

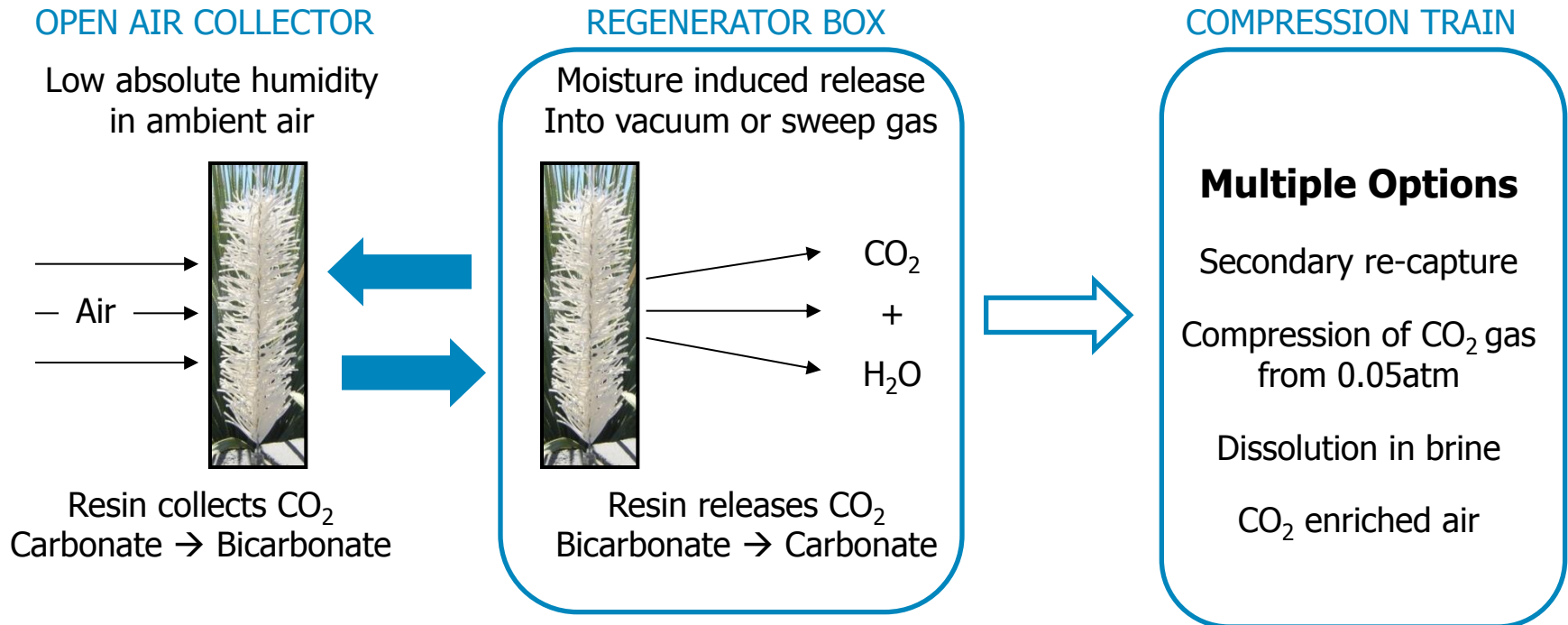
**Quaternary  
ammonium cations  
Carbonate/bicarbonate  
form**

**1.7 mol/kg charge  
equivalent**



# Novel Regenerator Chemistry

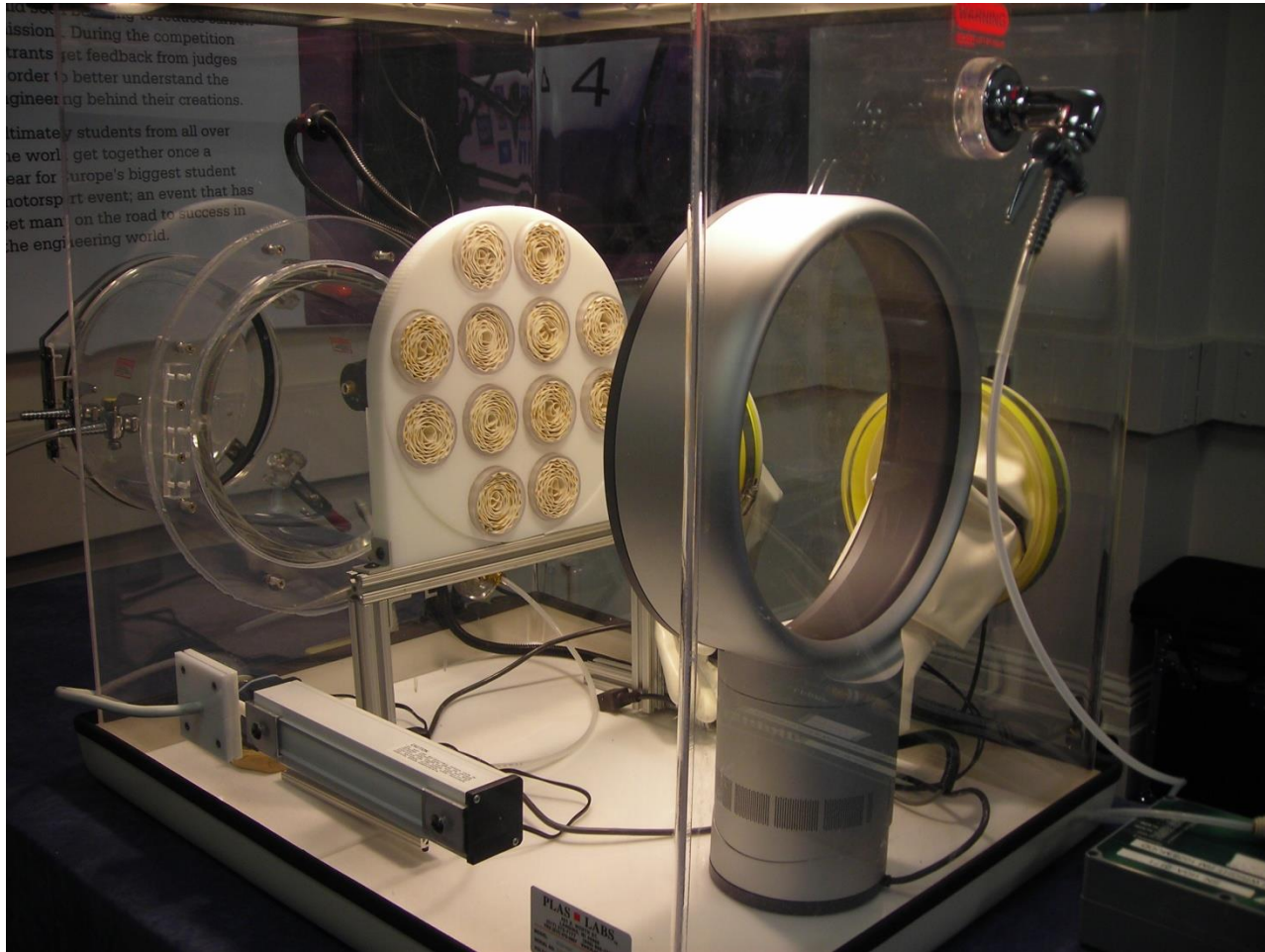
## Moisture Swing Absorption



- Moisture swing consumes water, mechanical energy and/or heat
- Water "only" for producing  $\text{CO}_2$  enriched air (5%)
- 50 kJ/mol of  $\text{CO}_2$  of electric power (for the evacuation based system)
- 10 liter of water per kg of  $\text{CO}_2$  (much 100 times less than biomass)

# Demonstration unit

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# Make the air do your work

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- **Air carries kinetic energy**
  - Plenty to move the air
- **Air carries thermal energy**
  - sufficient to evaporate water
- **Air carries chemical potential**
  - out of equilibrium with water
  - sufficient to compress CO<sub>2</sub> two hundredfold

**Take advantage of the resource you have**

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Addressing the scope of the problem

# **GOING TO SCALE**

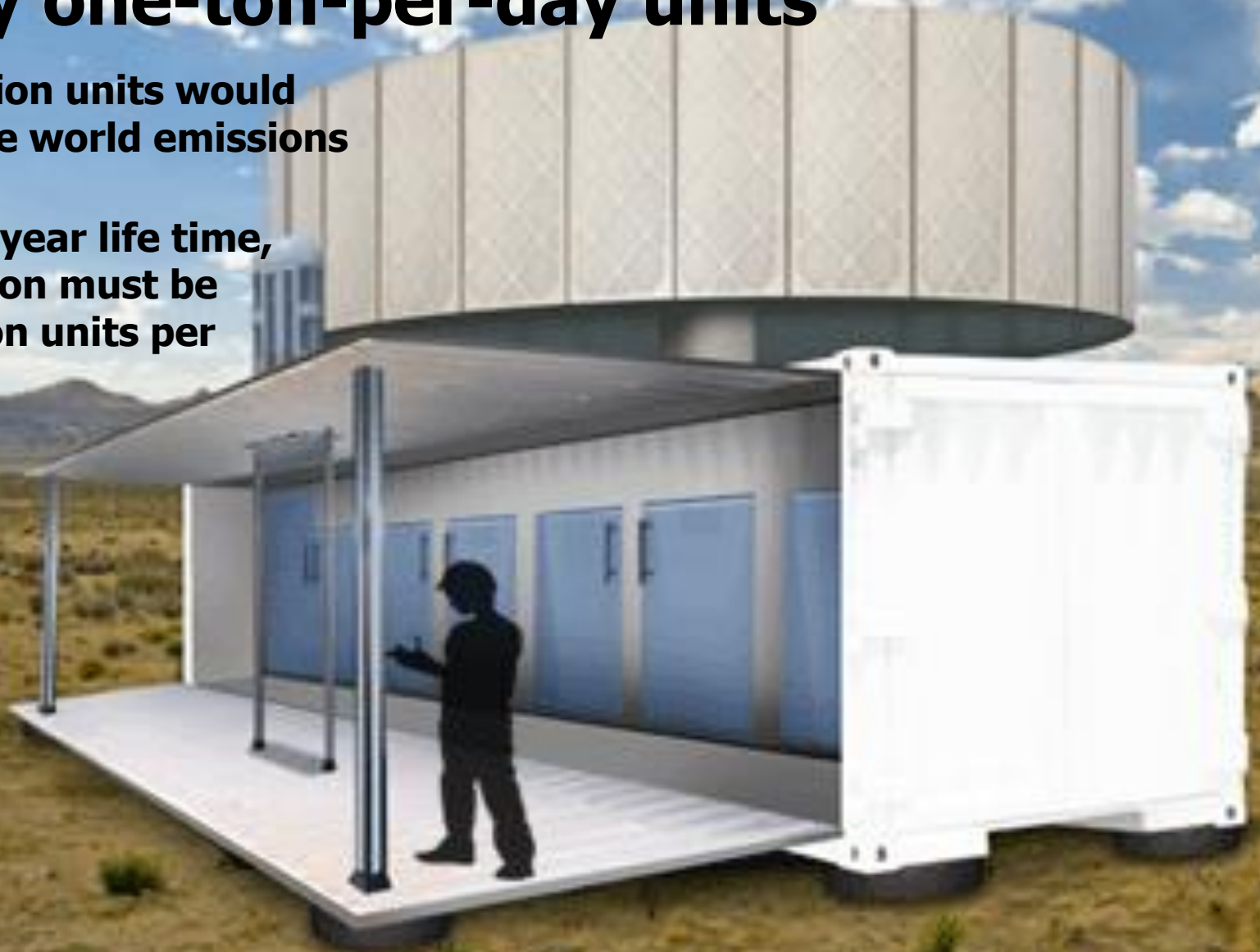


# Stabilizing climate with small machines

## Many one-ton-per-day units

100 million units would eliminate world emissions

With 10 year life time, production must be 10 million units per year





# Required production capacity small on world scale



**Shanghai harbor processes  
30 million containers a year**



**World car and light truck  
production: 80 million  
per year**

# Spot the low cost power plant

**Per unit of power, the cost of a car engine is about 100 times lower than that of power plants**



stock image



wikipedia



# Automation allows for small units

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<http://wot.motortrend.com/google-autonomous-car-testing-fleet-adds-lexus-rx-450h-logs-300000-miles-245621.html>

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Cost Issues

# **ECONOMIC VIABILITY**

# Economics

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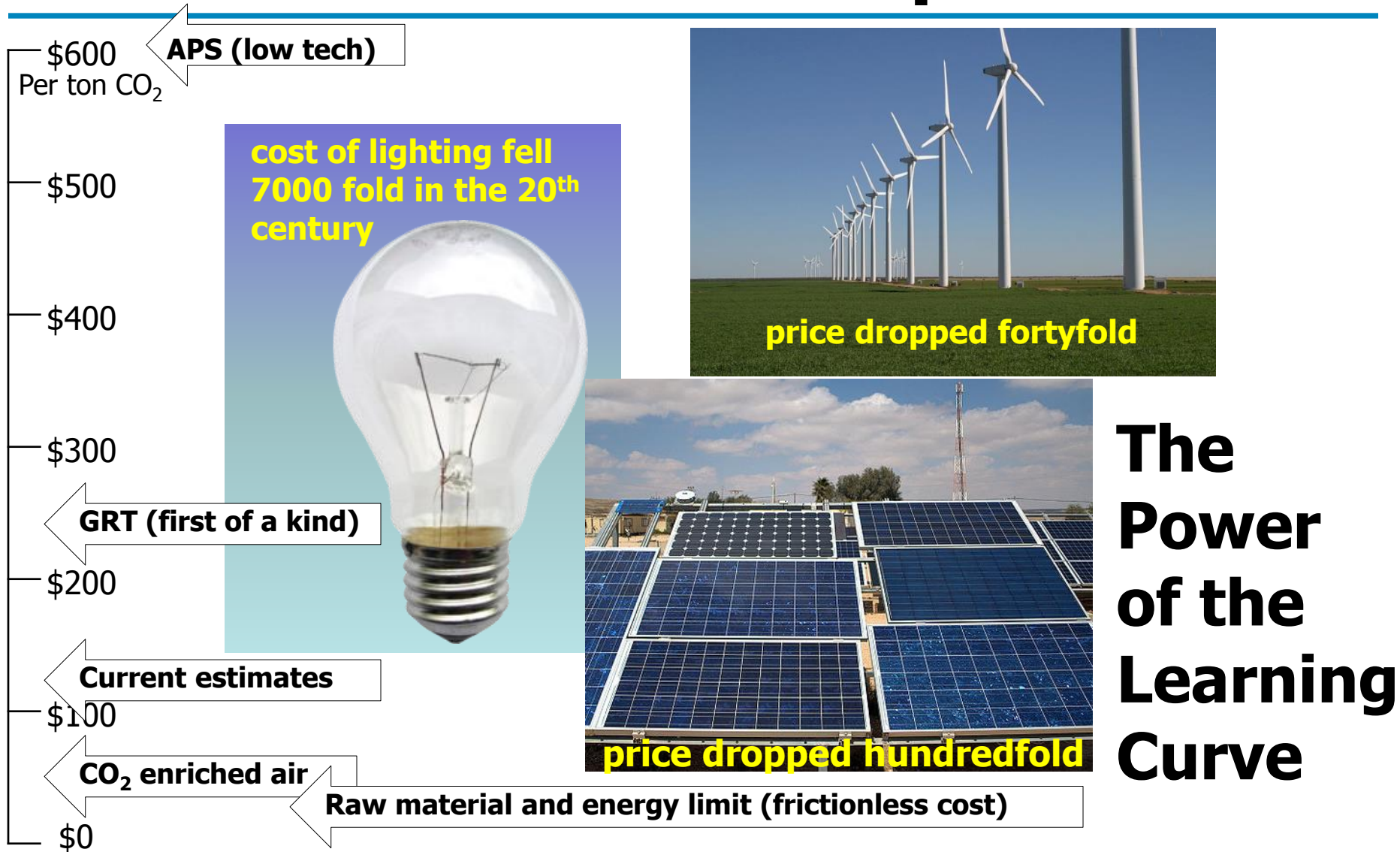
- Costs of future technologies are unpredictable
- First of a kind costs are always large
- Frictionless costs of air capture are very small
- Learning and mass production have yielded huge cost reductions
- Initial cost estimates appear encouraging



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**Economic feasibility is reached  
at \$100/ton of CO<sub>2</sub>**

# Low cost comes with experience



## The Power of the Learning Curve

**Ingredient costs are already small – small units: low startup cost**



# *Mining the air for CO<sub>2</sub>*

## CO<sub>2</sub> is everywhere available

- CO<sub>2</sub> is an industrial commodity
  - price varies with location
    - \$100/ton (\$50/t ... \$300/t)
  - transport distance sets cost
  - typical local demand is small



# CO<sub>2</sub> Markets

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- **Merchant CO<sub>2</sub>**
  - Markets are small and distributed
- **Chemical commodities**
  - E.g. urea, often attached to point sources
- **Biomass production**
  - Greenhouse, algae reactors
    - Limit water consumption, produce food/fuels
    - Operate with CO<sub>2</sub> enriched air
- **Enhanced oil/gas recovery**
  - Air capture aims at small fields, exploratory work in the absence of pipelines
- **Synthetic renewable fuels**
  - Input is excess, intermittent renewable power, often distributed
  - Energy rather than CO<sub>2</sub> drives cost
- **Sequestration**
  - Remote locations engender less resistance

**Air capture has a competitive advantage in satisfying small, distributed or remote demands**

# Can bootstrap from small scales

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- **Small existing CO<sub>2</sub> markets make it possible to start**
  - Without government support for huge pilot plants
  - With a profitable learning phase
  - Learning on a small scale
  - Basic R&D will be useful

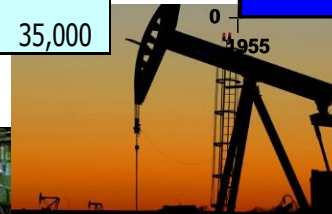
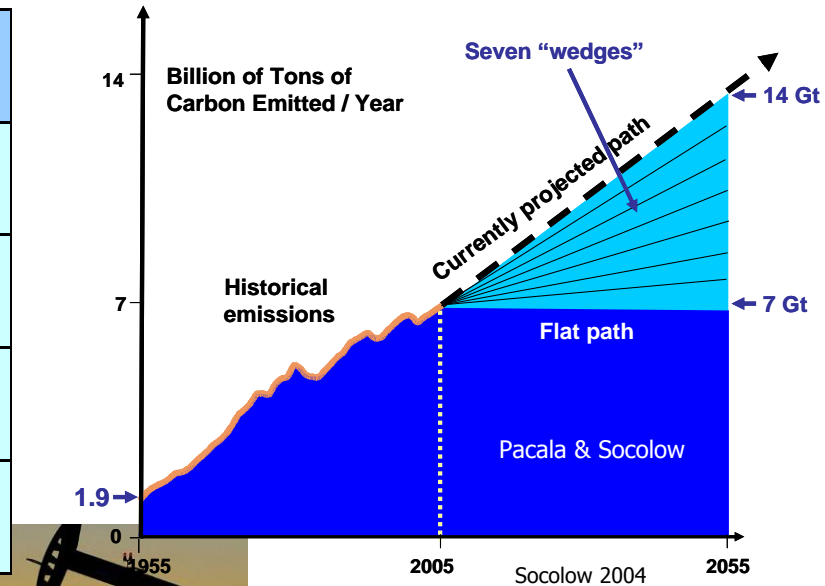


# Addressable CO<sub>2</sub> Markets are large

As learning lowers costs the market for CO<sub>2</sub> will grow even before there is a climate-based carbon price

CO <sub>2</sub> Market Consumers	Market Basis	Addressable Share %	Price Point \$/ton CO <sub>2</sub>	Annual Prodn. Mt CO <sub>2</sub>	Market Size M\$/yr
<b>Horticulture/Greenhouses</b>					
Glasshouse (world)	40,000ha	10%	100-200	1.2	200
Plastic (world)	1.5 Mha	1%	100-200	4.5	600
<b>Merchant CO<sub>2</sub></b>					
US	8Mt/yr	10%	100-300	0.8	200
World	30Mt/yr	10%	100-300	3.0	1,000
<b>Enhanced Oil Recovery</b>					
Current Use	44 Mt/yr	1%	20-50	0.4	20
World Oil	90Mbbl/day	3%	50-200	136	10,000
<b>CO<sub>2</sub> Reductions</b>					
14 Wedges	1Gt CO <sub>2</sub> /yr <sup>2</sup>	7%*	30-50		
14 Wedges in 2015	10Gt CO <sub>2</sub> /yr	7%*	30-50	700	35,000

\* 7% represents one wedge



Algae are an emerging market  
Greenhouses are an immediate market

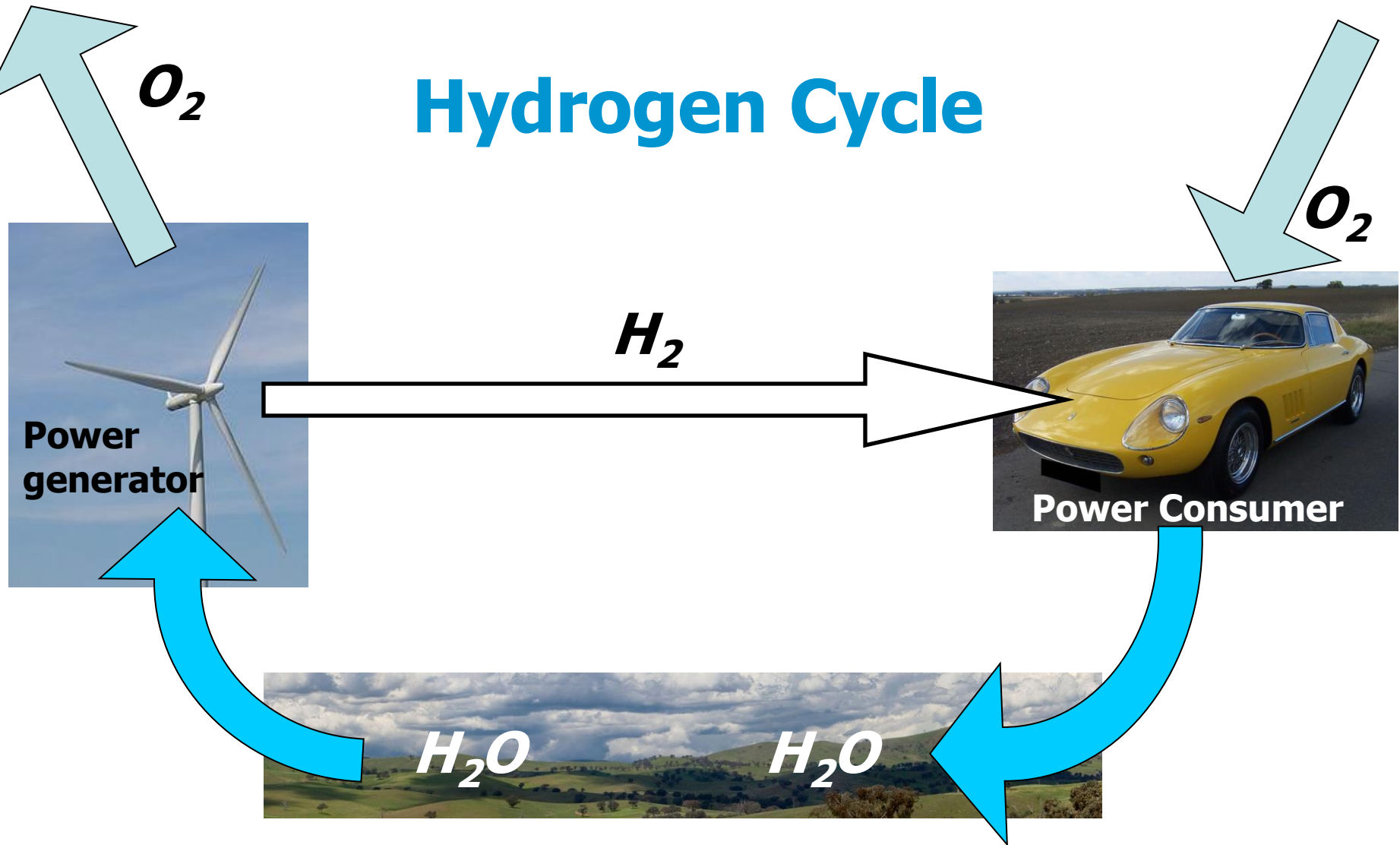
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Closing the fuel cycle

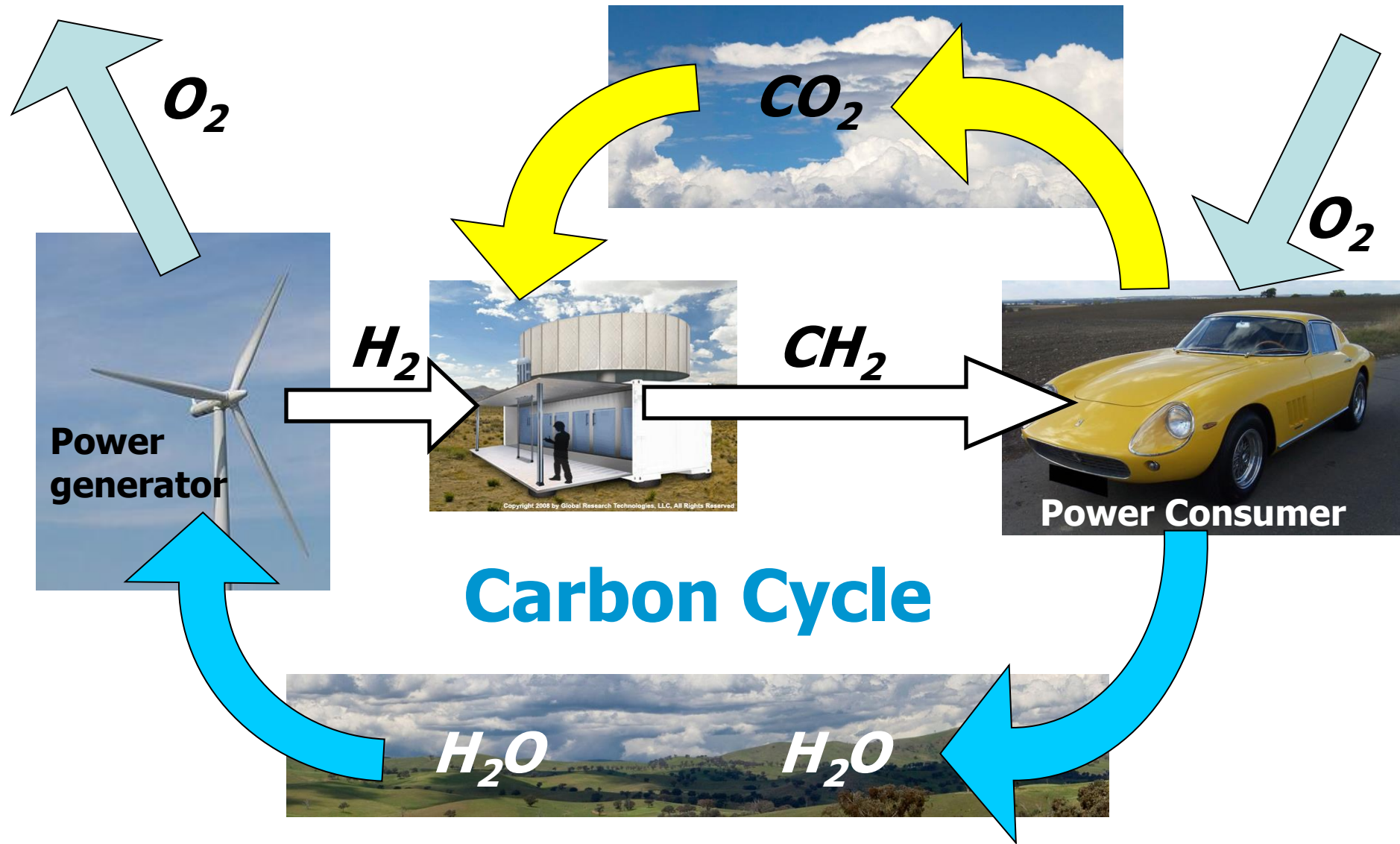
# **SYNTHETIC FUELS**

# Fuel market: Hydrogen

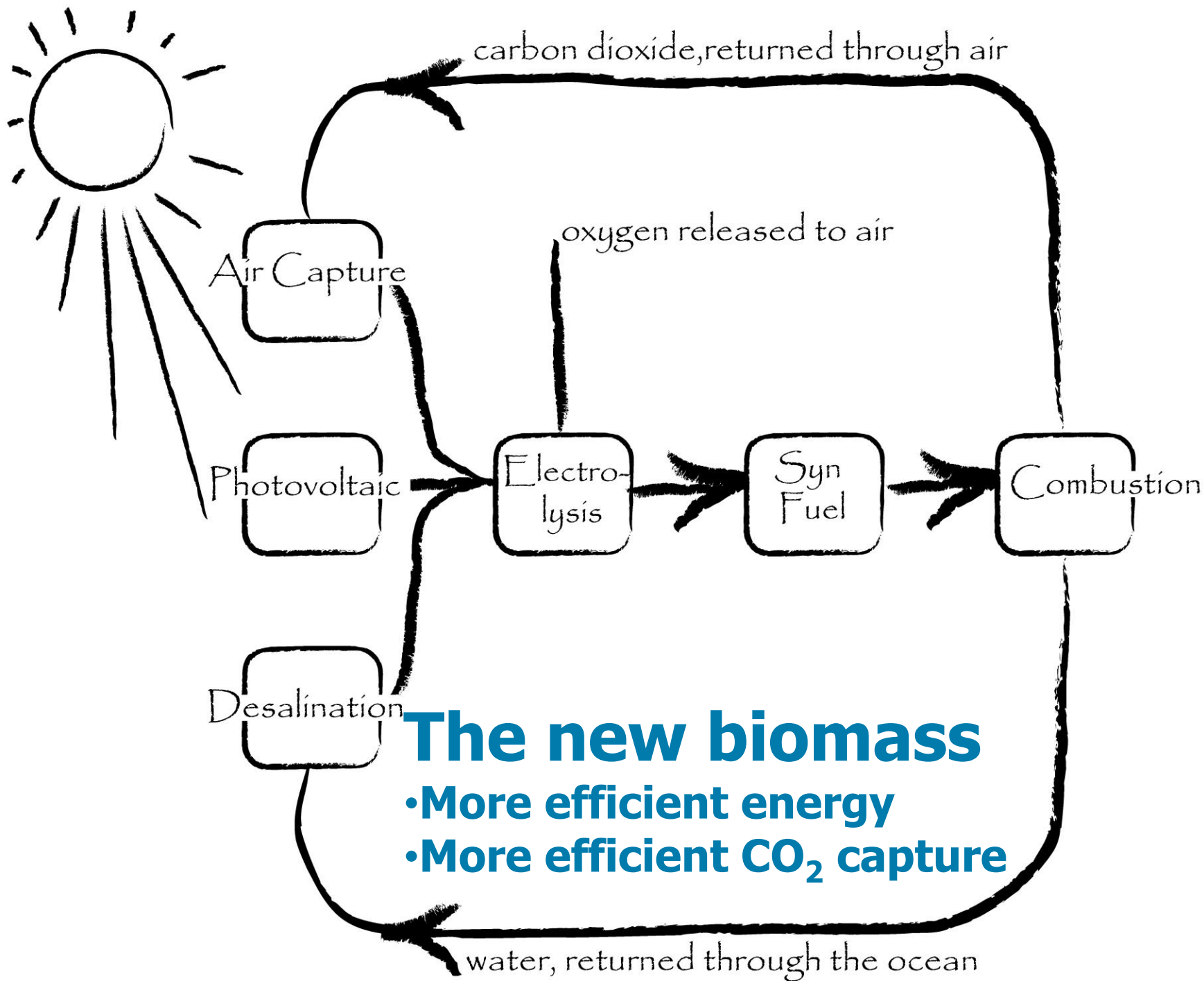
## Hydrogen Cycle



# Fuel market: non-fossil fuels carbon







## The new biomass

- More efficient energy
- More efficient CO<sub>2</sub> capture

# A long term vision:

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## two complementary energy carriers

- **Electricity**

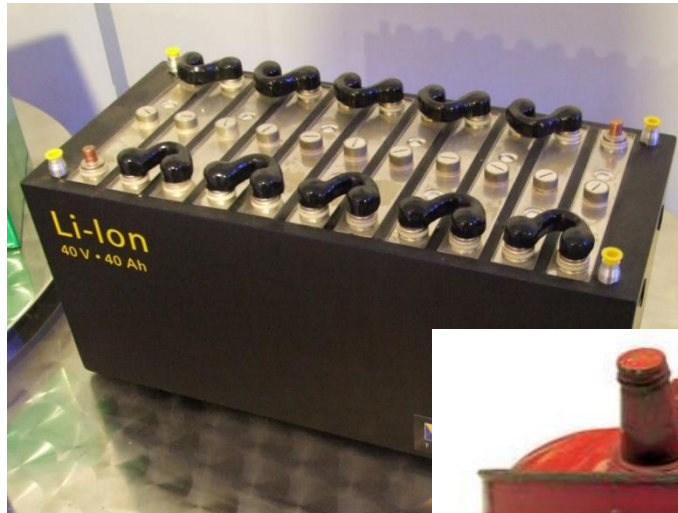
- Responsive
- No emissions at point of consumption
- Stationary applications
- No energy storage
- Large plants can capture most of their CO<sub>2</sub>

- **Liquid fuels**

- Easily stored
  - on board for transportation
  - for electricity storage
- Extremely high energy density
- Produce CO<sub>2</sub> at point of use – Air capture

# The power of liquid fuels

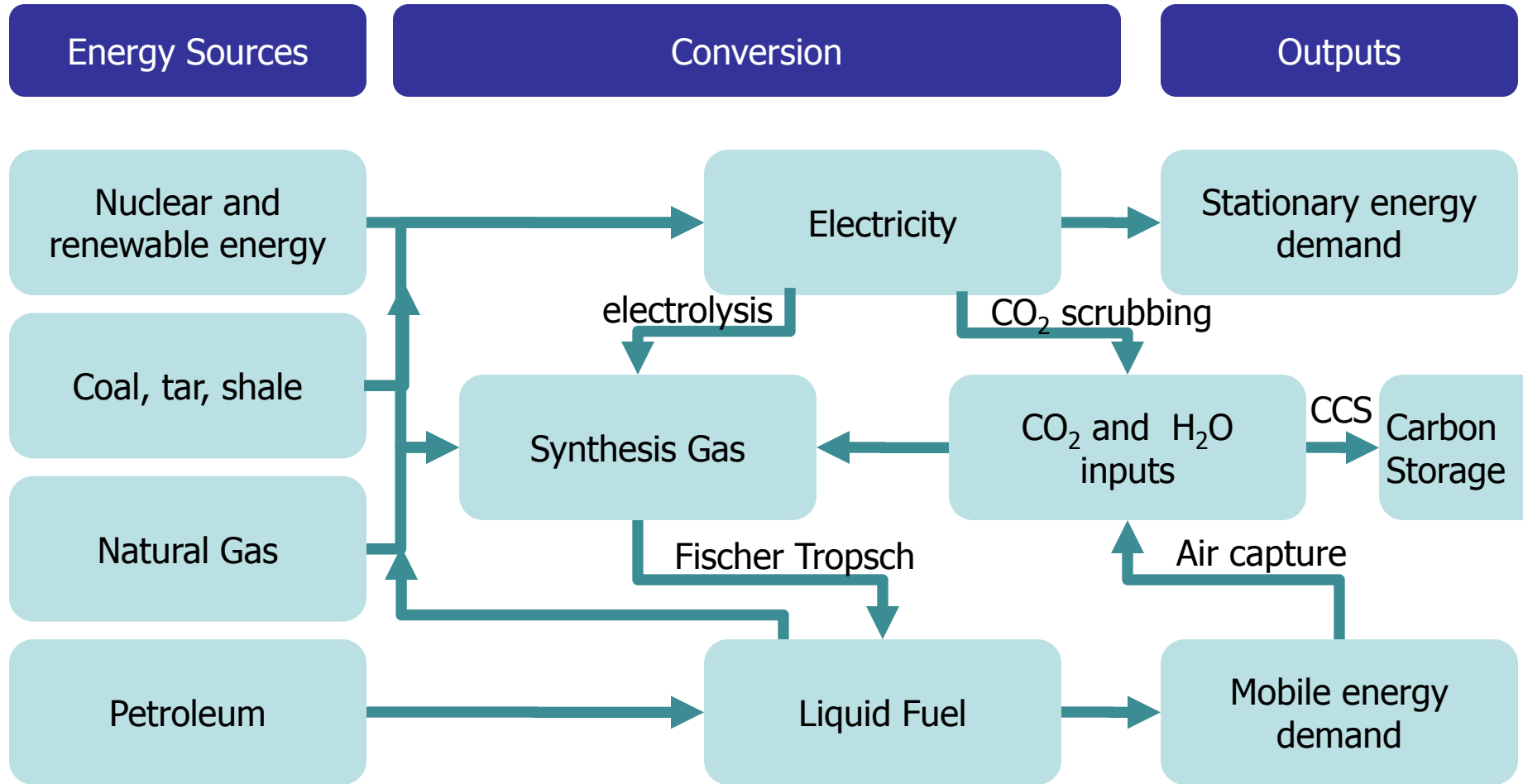
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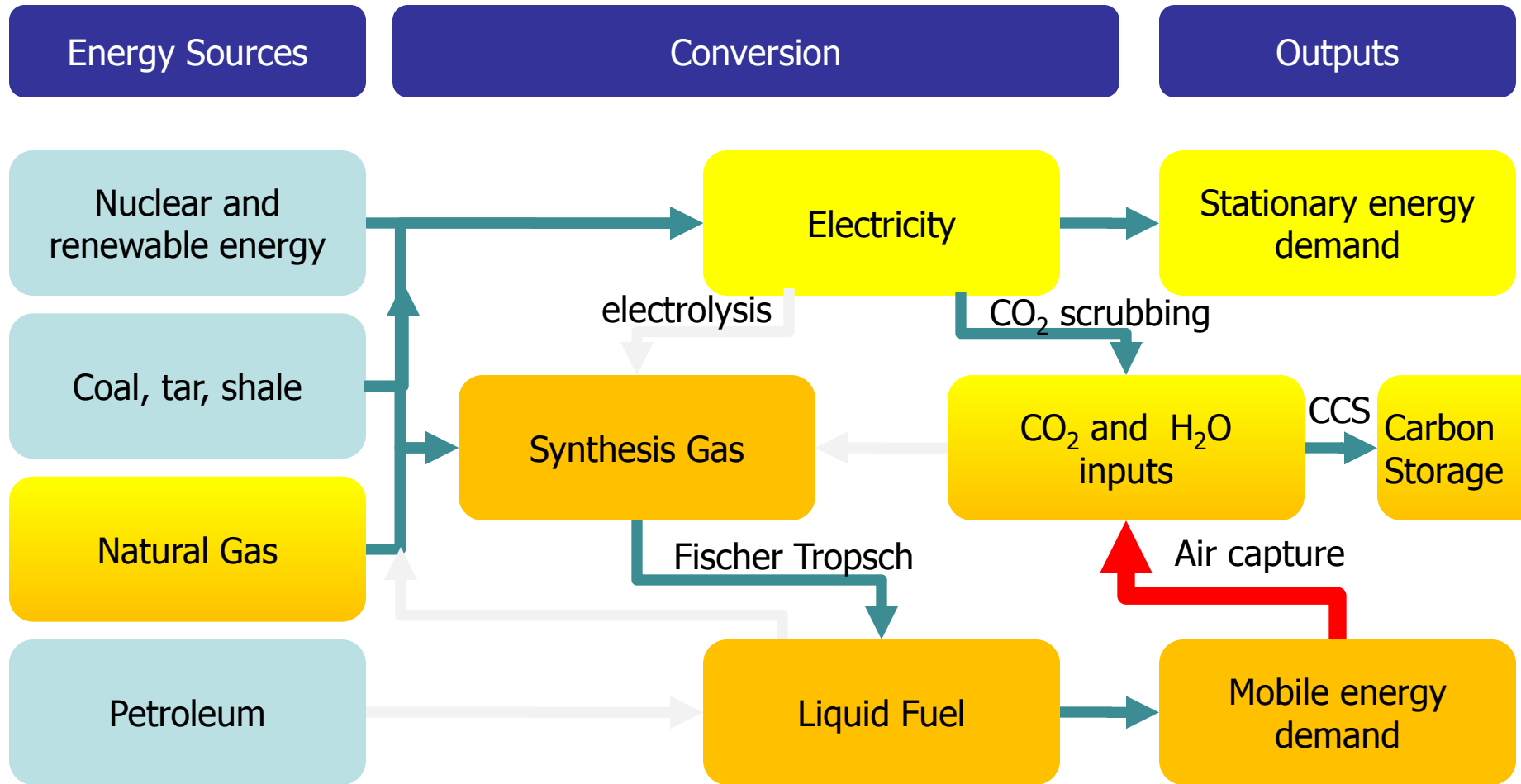
## Liquid fuels vs. Batteries

- 2 orders of magnitude higher energy density in fuel
- CO<sub>2</sub> once emitted stays in the air unless captured
- High capital cost limits length of battery charge/discharge cycle

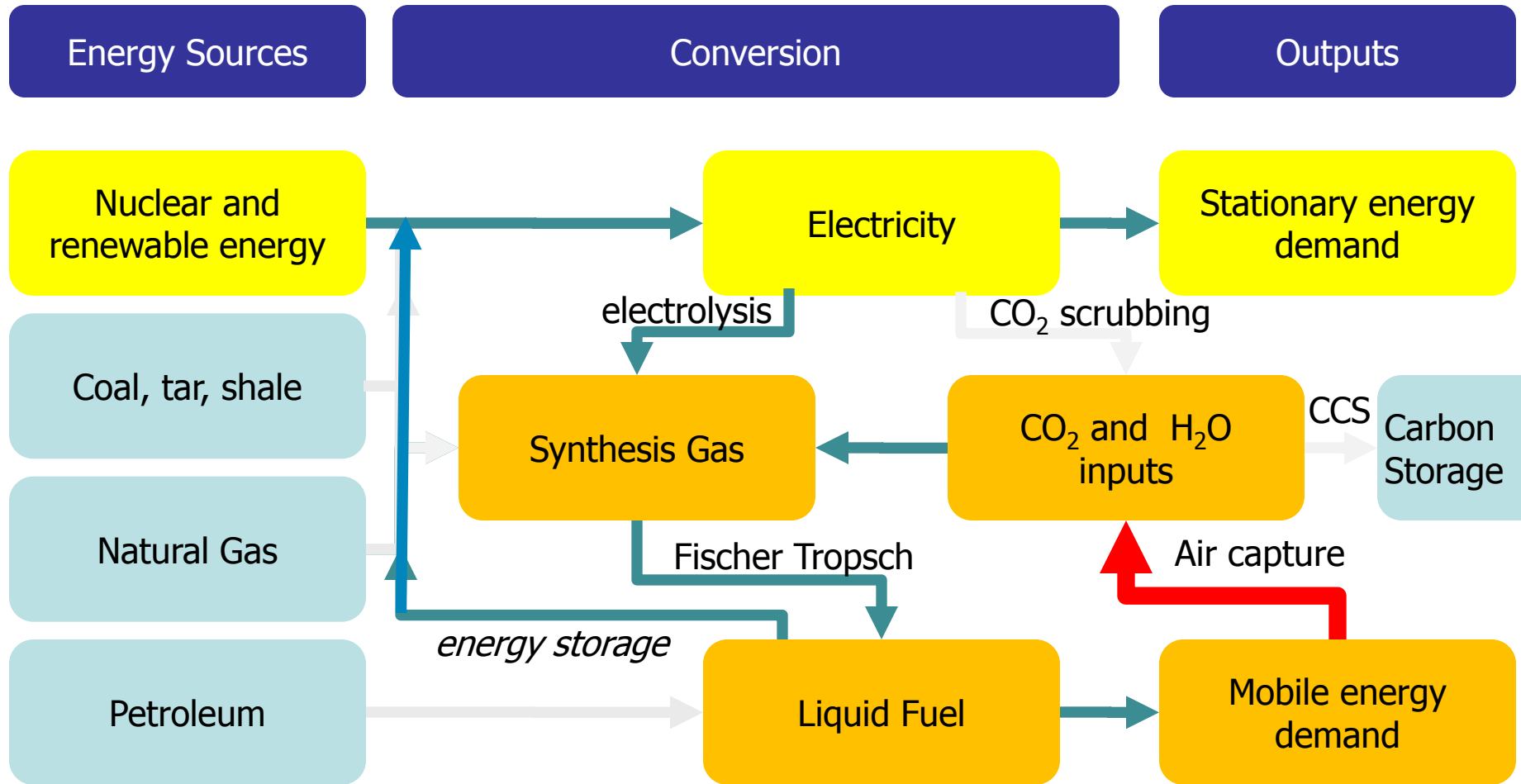
# Carbon neutral energy systems



# Carbon neutral energy systems



# Carbon neutral energy systems





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Conclusion

# **POLICY IMPLICATIONS**

# Emissions become reversible

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- **Air capture puts a price on emissions**
  - Accidental and intentional releases can be remedied
  - Costs can be assigned to emitters
- **Not a good reason to delay action**
  - Moral hazard argument is coming too late
  - The world has delayed unduly and now needs air capture

# Air capture is the capture of last resort

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- **can handle emissions from any and all sources**
- **sets upper limit on cost of carbon management**
- **assures feasibility of zero carbon scenarios**
- **provides a solution to the risk of leaky storage**
- **encourages point source capture**

**Public Institutions  
and Government**

**guidance**

**Carbon Board**

**certification**

**Temporary Permits & Credits**

**Private Sector**

**Carbon  
Extraction**

Farming, Manufacturing, Service,  
etc.

**Carbon  
Sequestration**

**Certified Carbon Accounting**

**Certificates of Sequestration**

Public Institutions  
and Government

guidance

Carbon Board

Back permits with  
air capture based  
sequestration

certification

Carbon  
Extraction

Private Sector  
Fertilizers, Methanol, Steel, etc.

Carbon  
Sequestration

Certified Carbon Accounting

Air capture sets  
carbon price

Certificates of Sequestration

# Air capture and international carbon policies

- **Carbon border adjustment in trading**
  - Physical reductions can be attached to goods traded
- **International carbon capture and storage credits**
  - Generated by remote air capture and storage facilities
  - On behalf of poor countries, on behalf of uncooperative nations
- **Access to large, remote storage sites**
  - Avoid NIMBY/NUMBY of urban areas
- **Synthetic fuels from remote renewable energy sites**
  - Markets for countries with sunshine, wind, hydro or geothermal energy

**Creating a world wide  
carbon price**





# Alternatives

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- **Industry will find alternatives**
  - Renewable and nuclear energy
  - Point source capture and storage
  - Closed cycle liquid fuels (with air capture)
- **Air capture acts as a competitor**
  - Once established it will motivate other actions
  - A fraction of the market will stay with air capture
- **Air capture deals with all emissions**
  - Alternatives will occupy niches or sectors

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Conclusions

**AIR CAPTURE IS VALUABLE**

# Air capture is worth pursuing

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- **A powerful tool for fighting climate change**
  - Outperforms biomass capture
  - Important policy tool in creating carbon reductions
- **No physical reasons why it could not work**
  - Not an extrapolation of conventional technologies
  - Thermodynamics and kinetics work out – carbon negative with coal
  - Technical feasibility has been demonstrated
  - Same processes are deployed routinely in other industries
- **Cost reductions appear feasible**
  - APS process is six times too expensive, but it is a first of a kind
  - Mass production and novel sorbent can drive cost reduction
  - Learning curves in other fields have reduced cost 10 to 100-fold
  - Several companies claim low costs already
  - Frictionless cost is very low

# High risk – high return investment

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- **Risk is mitigated by limiting unit scale of operation**
  - Avoid large capital expenditures of operating huge units
  - Avoid long development cycles typical of scaling up
  - Scale up in numbers by entering a sequence of ever large markets
- **Return is amplified by world-wide applicability**
  - Carbon reductions via air capture create an export industry
- **Return is amplified by motivating other options**
  - Air capture puts a ceiling on the price of carbon
  - Air capture cost are driven down by learning in real markets
  - Technically easier options (like power plant capture) can and will compete by achieving lower costs in their respective sectors
- **Small markets allow bootstrapping**
- **Policy support is critical**

# Air Capture Center

integrating a new idea into Academia

## Demonstration

Field deployed prototypes

Establish rapid prototyping capability

Continuous improvement and continuous operation

Learning by doing in multiple iterations

## Basic Science

Sorbent chemistry, physics and thermodynamics

Separation Science

System engineering, scaling and automation, techno-economics

Sustainability science, human interfaces

## Policy-Outreach

Study policy implications of air capture technology

Move policy makers to a zero-emission world

Connect to industry and venture capital

Seek support from foundations & sovereign wealth funds

Creating IP Pool

# New ideas change the world

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- Steam Engine
- Trains & Ships
- Telephones
- Automobile
- Television
- Airplanes
- Internet



Unpredicted and unmodeled, these inventions changed the course of future societal developments in unexpected ways