

USEA / USAID Global Energy Efficiency Workshop

Technology Overview: Energy Efficient Buildings



Derek Supple

Strategy & Innovation Program Manager
Global Energy & Sustainability Center of Excellence



March 9, 2010

Agenda for Today's Discussion

- Introduction to Johnson Controls Viewpoint
- Commercial Building Energy Use & Impact
- A "Whole Systems" Approach to Building Efficiency
- HVAC Systems Overview
- ENERGY STAR Standards
- Case study: Empire State Building
- Case study: Johnson Controls HQ Campus
- Case study: IDeAS Net Zero Energy Building

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3 Global Businesses Focused on Sustainability

140,000 Employees

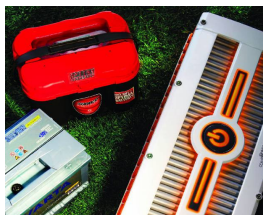
Fortune 100

Multi-Industry Company



Building Efficiency

Creating quality indoor environments that are comfortable, safe, energy efficient, and sustainable



Power Solutions

Providing the highest quality, lowest cost automotive batteries to power vehicles of today and tomorrow



Automotive Experience

Delivering world-class technologies that differentiate vehicle interiors and increase consumer demand

- 200 million vehicles
- 12 million homes
- 1 million commercial buildings

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Johnson Controls & Energy Efficiency, since 1885

140,000 Employees

Fortune 100

Multi-Industry Company



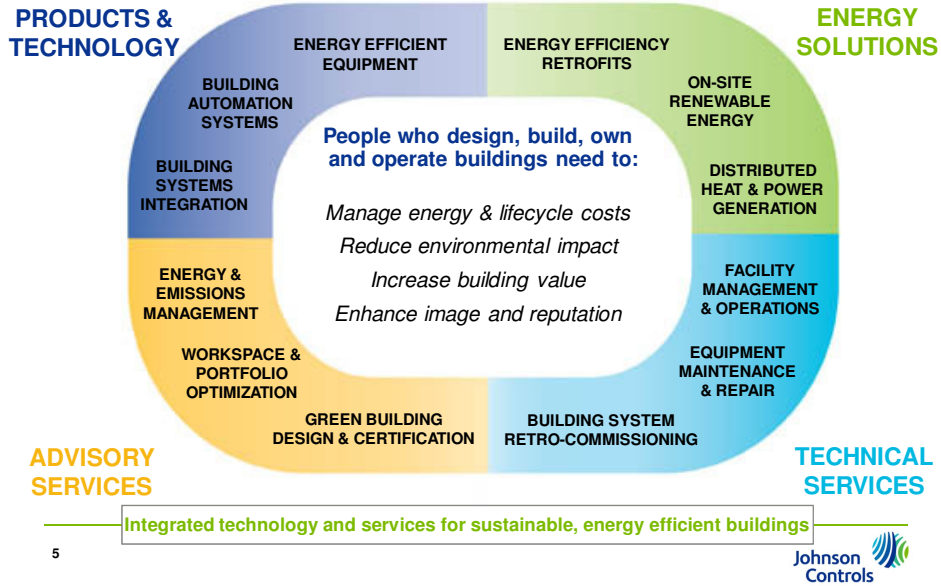
- Founded in **1885** by Warren Johnson, inventor of the first electric room thermostat
- Over 40,000 employees dedicated to **building efficiency** in 500+ locations in 125 countries
- 13,000 **HVAC technicians**, 12,000 **facility mgrs**
- Over **1.4B sq ft** of space under direct management, with services provided to a further **20B sq ft**
- Largest ESCO in North America with over **\$4.5 billion** of active cost savings guarantees



4



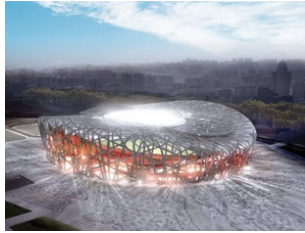
Our Building Efficiency businesses



Who are our customers?



Who are our customers?



Beijing National Stadium



Integrated Design Associates



Empire State Building



Qatar Cool



Shanghai World Financial Center



Burj Khalifa

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Agenda for Today's Discussion

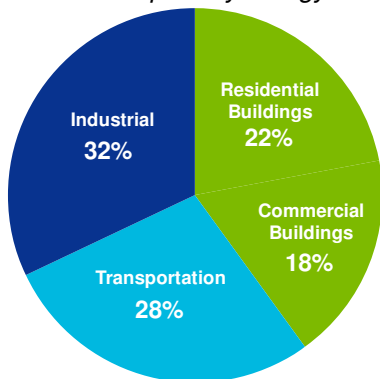
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Buildings have a major energy & environmental impact

Buildings use 40% of U.S. primary energy

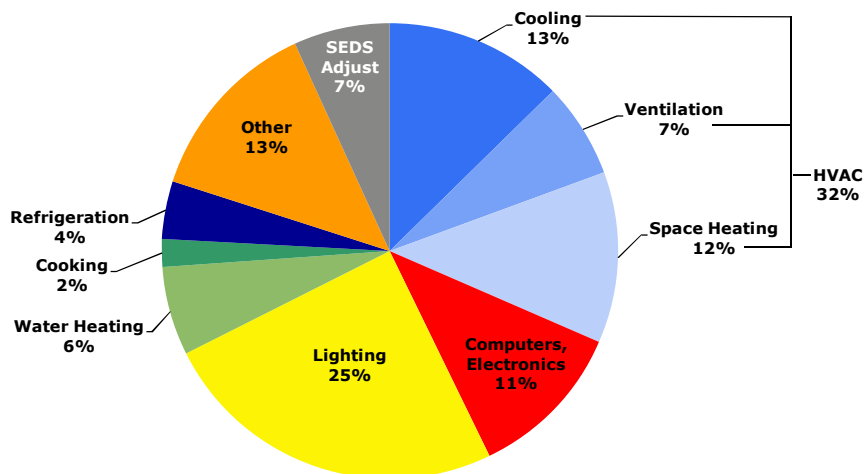


- Building sector represents 40% of the world's energy consumption
- 1/3rd of energy-related global GHG emissions (~8 gigatons/year)
- Buildings responsible for 30% of raw materials use, 25% of solid waste, 25% water use, and 12% of land use.
- Existing building retrofits are a critical part of the climate solution
- Energy consumption in building stock (both new and existing) could be cut by 30-50% by 2020

⁹ Sources: International Energy Agency; U.S. Energy Information Administration (2009) *Annual Energy Review*; U.S. Dept of Energy (2009) *Building Energy Data Book*; World Resources Institute; United Nations Environment Program – Sustainable Buildings & Climate Initiative



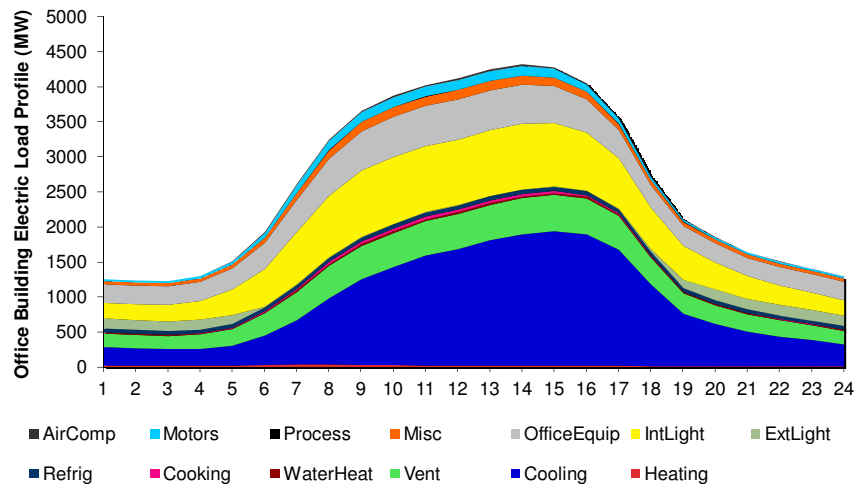
Average U.S. End Use Energy Split in Commercial Buildings



¹⁰ Source: U.S. DOE (2009) *Building Energy Data Book* - Table 3.1.4



Load varies throughout the day and the seasons



11 Source: CA Commercial Energy End Use Consumption Survey



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Traditional solutions to meet growing energy needs

Create new energy supply



Build new power plants
Discover new energy reserves



Build new transmission and distribution infrastructure

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A cheaper and more expedient path to more energy services

Invest in demand side energy resources



Energy Efficiency



Demand Response



Onsite Renewable Energy



Distributed Energy Storage

14 This "soft path" approach popularized in Lovins, A.B. (1976) "Energy Strategy: The Road Not Taken?" Foreign Affairs



Energy efficiency should not be confused with conservation

Energy Efficiency:

Achieving the same ends (but often more or better) with less primary energy

Wringing more work from our energy by intelligently substituting good design, improved equipment, and attention for joules

Energy Conservation:

Using less energy in order to reduce cost or the impact on the environment

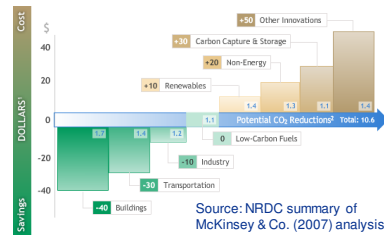
Doing less, worse, or without—curtailment, discomfort, self-sacrifice, or privation

15

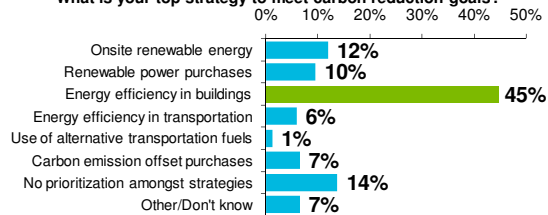


Energy efficiency is the “dark horse” of clean energy

- The largest, **cheapest**, safest, and fastest energy option
- Currently the **fastest-growing** new “energy source” (~2.5-3.5%/yr) in the U.S.
- Gains in real GDP per unit of primary energy vs. 1975 provides over **twice the energy services as oil**
- Also the **least visible**, least understood, and most neglected



What is your top strategy to meet carbon reduction goals?



16 Sources: McKinsey & Co (2007); JCI/IFMA (2009)



Work backwards from end-use “energy services”

Hot showers, cold beer, light, mobility, torque...

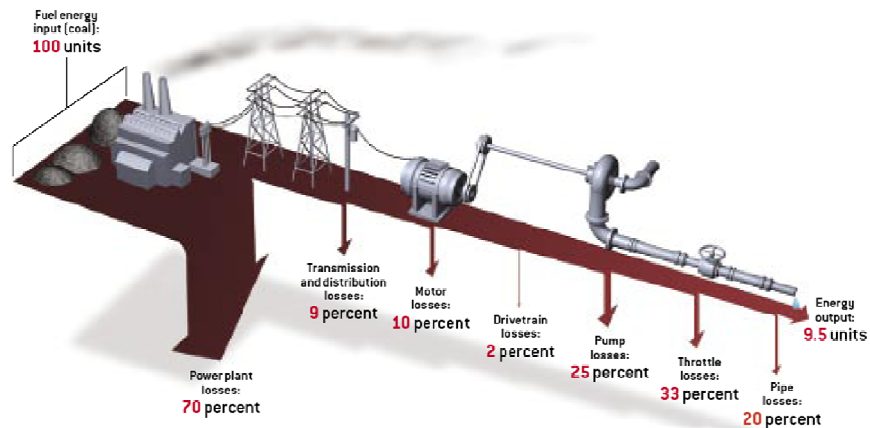


... not barrels of oil, kWh of electricity, etc.

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Why focus on end-use savings first?



Savings *downstream* results in 10x energy saved at the power plant
Also makes upstream equipment smaller, simpler, cheaper

18 Source: Rocky Mountain Institute



Buildings provide a variety of end-use services

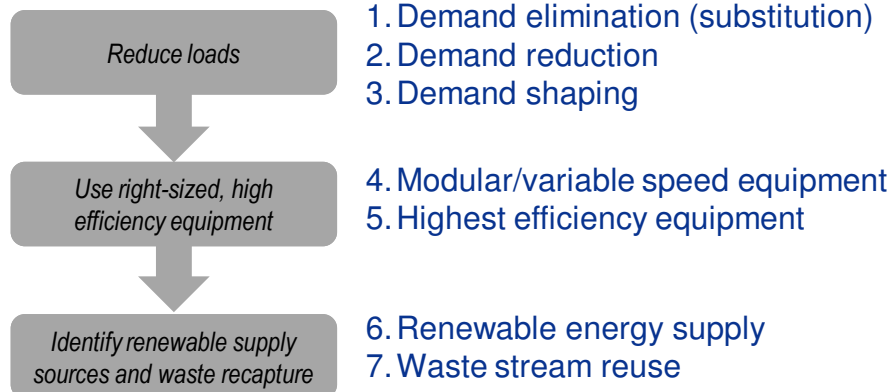
 Illumination	 Thermal Comfort	 Air Quality
 Mobility & Access	 Communication	 Physical Space
 Physical Security	 Fire Safety	 Water Delivery
 Acoustics	 Mechanical Work	 Sanitation
 Views	 Design Aesthetic	 Food Prep, Preservation

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Whole systems design: the right steps in the right order

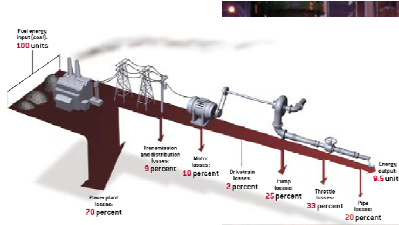
In Priority Order:



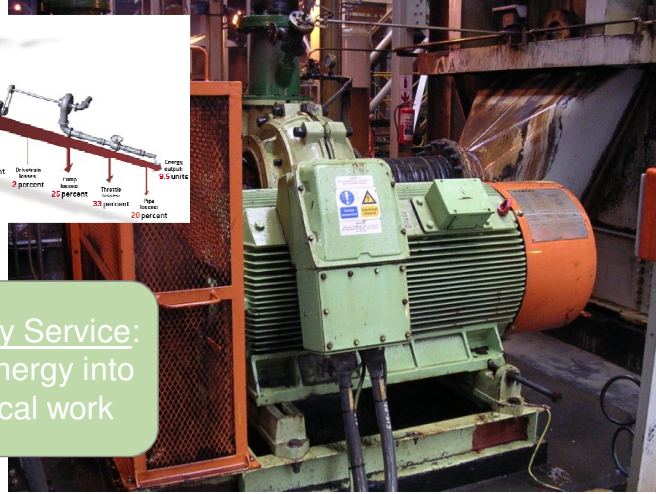
20 Attribution: Rocky Mountain Institute; Jason Denner



Returning to our drive power services example



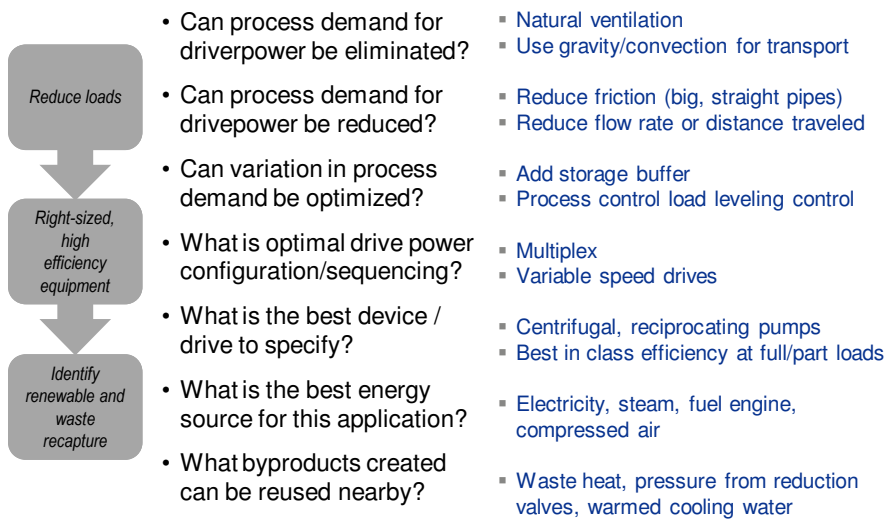
The Energy Service:
Convert energy into
mechanical work



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The right steps in the right order



22 Source: Jason Denner Point 360; RMI; JCI



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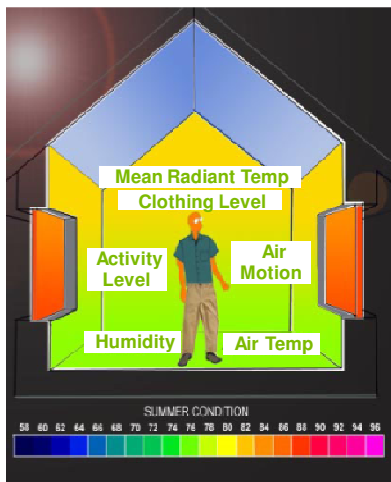
Heating, Ventilation, and Cooling (HVAC) Services

 Illumination	 Thermal Comfort	 Air Quality
 Mobility & Access	 Communication	 Physical Space
 Physical Security	 Fire Safety	 Water Delivery
 Acoustics	 Mechanical Work	 Sanitation
 Views	 Design Aesthetic	 Food Prep, Preservation

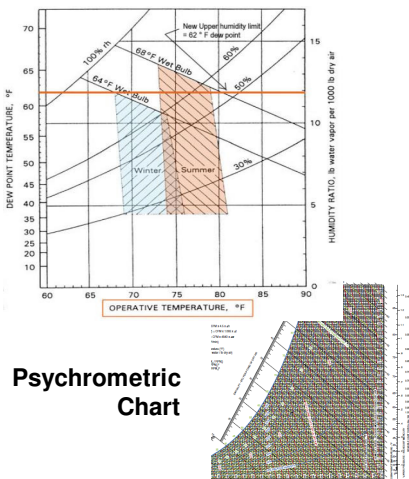
24



The six factors of human thermal comfort



Comfort Zones



Psychrometric Chart

25 Source: Johnson Controls "Building Environments"

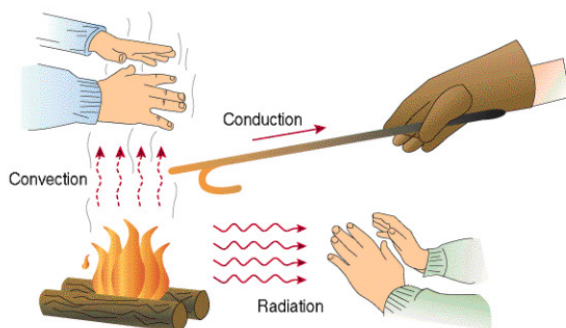


How do buildings gain heat? Lose heat?

Conduction

Convection

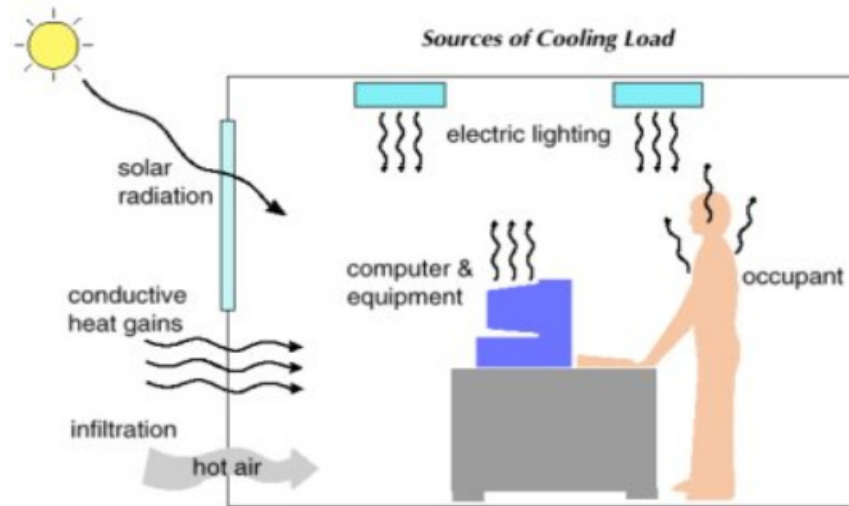
Radiation



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External loads and internal loads



27 Source: BC Hydro



Cooling - Right Steps in the Right Order:

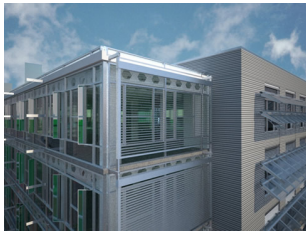
- reduce cooling demand
 - 1. Eliminate requirement to cool entire space equally
 - 2. Expand the comfort envelope
 - 3. Minimize sources of heat and humidity gains
 - 4. Optimize balance-of-plant (pumps, piping loops, etc.)
- select cooling approach
 - 5. Apply *passive* cooling techniques
 - 6. Consider *active* non-refrigerative cooling techniques
 - 7. Use super-efficient *refrigerative* technology (COP > 5.8)
 - 8. Optimize automation controls and operation
 - 9. Capture beneficial by-products

28 Source: Jason Denner Point 360; RMI; JCI



What are the methods of rejecting heat from a building?

Passive cooling



Active –
Non refrigerative



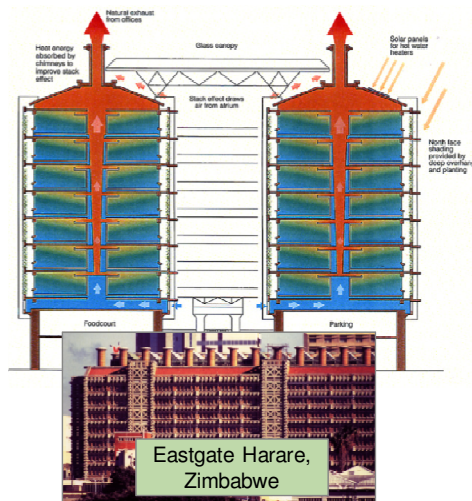
Active cooling –
refrigerative



29



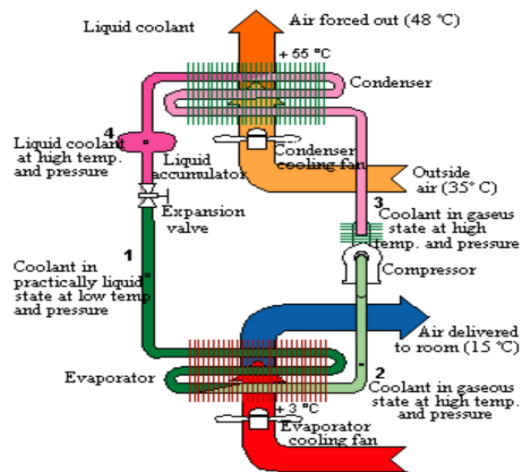
Passive displacement ventilation – “Biomimicry”



30 Source: Wikimedia.org Commons



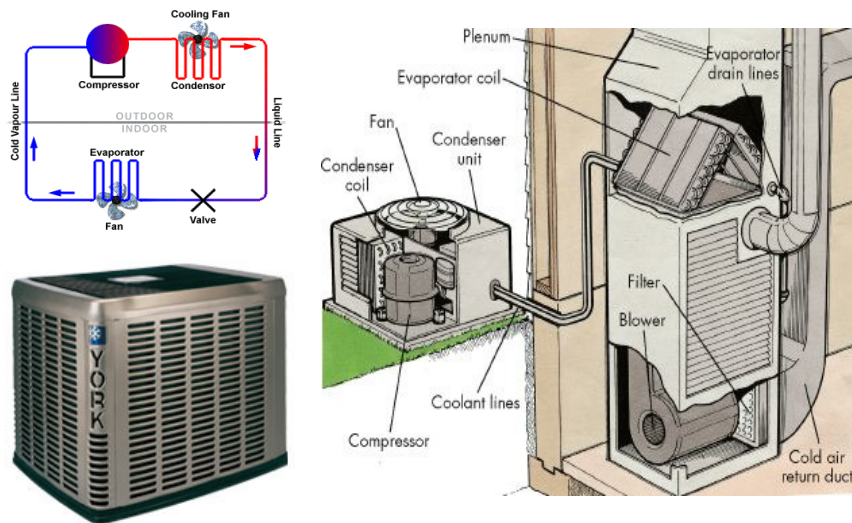
How active refrigeration works



31



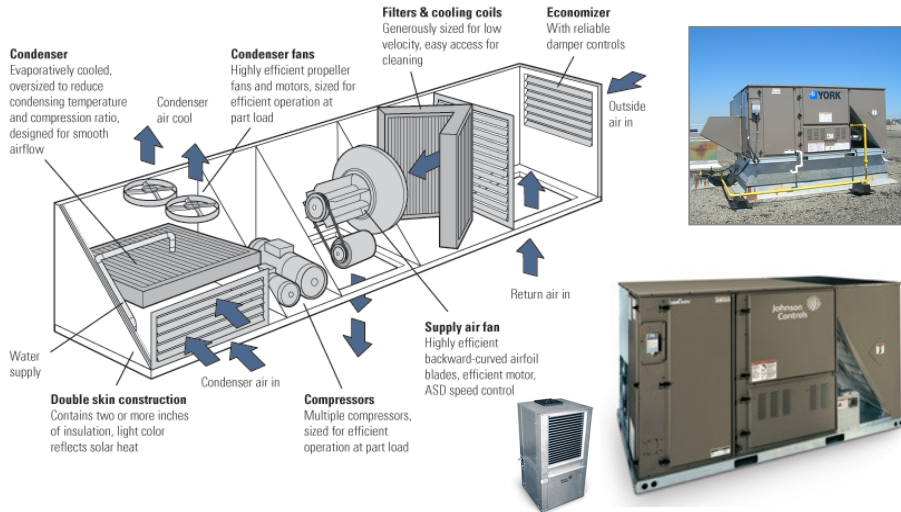
Active Cooling – Split Systems



32 Source: De Klein (2009); ASHRAE; Johnson Controls



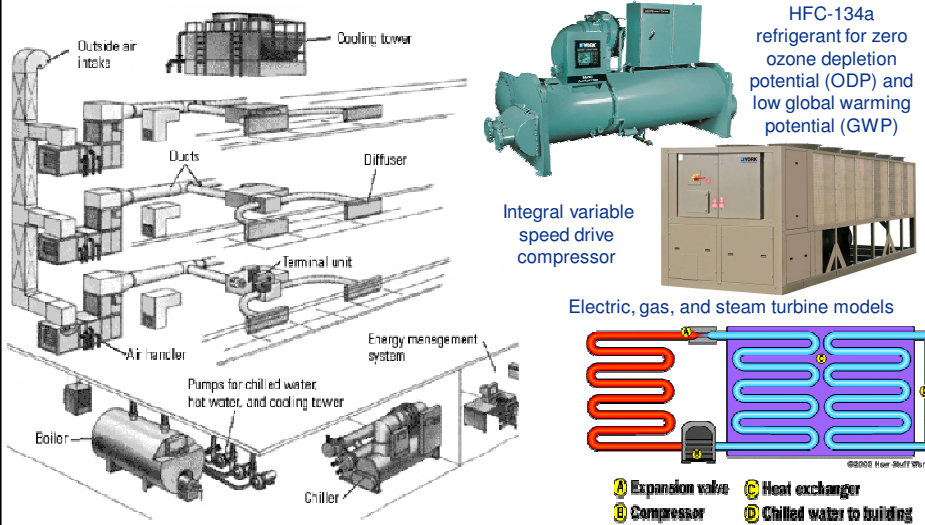
Active Cooling – Rooftop Package Units & Heatpumps



33 Source: E Source; Johnson Controls



Active Cooling – Chilled Water Systems



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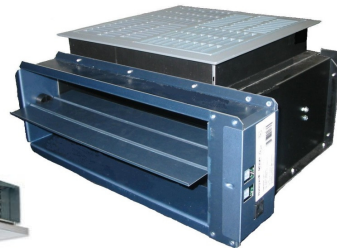
Air Distribution and Secondary Systems



Variable Air Volume Terminal Units



Fan Coil Units



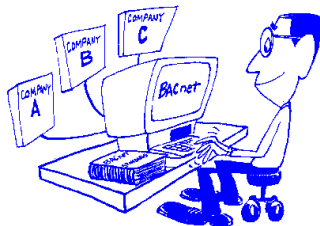
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Sensors and Control Systems

- Control system maintains setpoints, schedules, and adaptive tuning to prolong equipment life, reduce maintenance and save energy.
- Control, meter and monitor HVAC, security, lighting, energy, water, irrigation, etc
- Wireless technology reduces wiring material consumption and cost
- Ready access portal gives more control to users and tenants

Building Automation System (BAS)



36 Source: ASHRAE



Metrics: Energy Efficiency Ratio (EER)

Used to define the cooling efficiency of unitary air-conditioning and heat-pump systems under peak day conditions (95° F outdoor temp).

The higher the EER the more efficient is the system.

$$\text{EER} = \text{Ec} / \text{Pa}$$

where

EER = energy efficient ratio (Btu/h/Watt)

Ec = net cooling capacity (Btu/h)

Pa = applied energy (Watt)

Typically includes the energy requirement of auxiliary systems such as the indoor and outdoor fans

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Metrics: Seasonal Energy Efficiency Ratio (SEER)

Used to define the average annual cooling efficiency of an air-conditioning or heat-pump system over typical cooling season

$$\text{SEER} = \text{Ec} / \text{Pa}$$

where

SEER = seasonal energy efficiency ratio (Btu/Wh)

Ec = cooling output for typical season (Btu/season)

Pa = applied energy (Wh/season)

SEERs usually 0.5-1.0 higher than corresponding EERs

ENERGY STAR qualified central AC must have a SEER of at least 14

38 Source: Air Conditioning, Heating, and Refrigeration Institute (AHRI)



Metrics: Coefficient of Performance (COP)

Basic dimensionless parameter used to report the efficiency of refrigerant based systems

Ratio between useful energy acquired and energy applied and can be expressed as:

$$\text{COP} = E_u / E_a$$

where

COP = coefficient of performance

E_u = useful energy acquired (Btu in imperial units)

E_a = energy applied (Btu in imperial units)

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Metrics: kW/Ton

Common term used for large commercial and industrial air-conditioning, heat pump and refrigeration systems.

Ratio of the rate of energy consumption in kW to the rate of heat removal in tons at the rated condition.

The lower the kW/ton the more efficient the system.

$$\text{kW/ton} = P_c / E_r$$

where

P_c = energy consumption (kW)

E_r = heat removed (ton)

40



Some benchmarks for reference

	Standard	Better	Best
Site kBtu/ft ² /yr	≥100	40-60	<10-20
= kWh/ft ² /yr	25	15	2-4
Lighting W/ft ²	1.5-2	1.1	0.1-0.3
Plug Load W/ft ²	5-8	1-2	0.2
Glazing Btu/ft ² /°F/hr	0.6	0.3	0.05-0.13
Glazing T _{vis} /SC	1.0	1.2	> 2.0
Perimeter htg	extensive	medium	none
Roof, α, ε	0.8, 0.2	0.4, 0.4	0.08, 0.97
Cooling ft ² /ton	250 - 350	500-600	1,-1,200+
Cooling System kW/ton	2.0	1.5	≤0.7
Relative Capital Cost	1.0	1.03	0.95

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What is driving increases in building performance?



Codes and Standards

- International Energy Conservation Code (IECC)
- EU Energy Performance in Buildings Directive
- Japan 2008 Revised Energy Conservation Law
- IIEC Indian Energy Efficiency Building Code
- China 2006 Building Energy Code



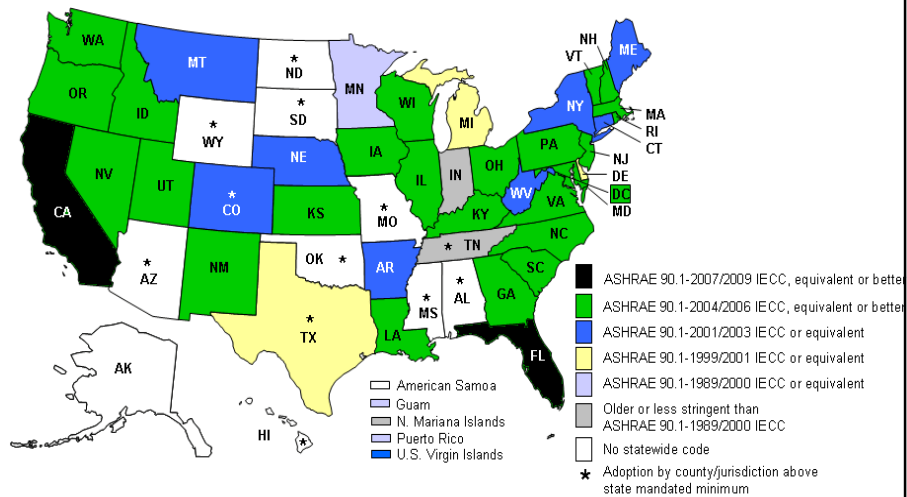
Voluntary Ratings & Certification Systems

- ENERGY STAR
- Leadership in Energy & Environmental Design (LEED)
- Green Globes
- BREEAM
- Green Star
- & more

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Commercial Building Energy Codes in the U.S.



44 Source: U.S. DOE (2009) Building Energy Data Book - Table 7.3.2



Use of PORTFOLIO MANAGER Tool

PORTFOLIO MANAGER
EPA's system for helping you track and improve energy efficiency across your entire portfolio of buildings.

ACCOUNT INFORMATION CONTACTS CONTACT US HELP LOGOUT

Home > My Portfolio

Required for Benchmarking

Space Attribute	Space Attribute Value (Temporary values should only be used if an Actual value is not currently known)	Use Default Value	Units	Effective Date (When this Attribute Value was first true) (MM/DD/YYYY)
Gross Floor Area	<input type="text"/> <input type="checkbox"/> For Temporary Use?	N/A	Sq. Ft.	01/01/1982
Operating Hours/Week	<input type="text"/> <input type="checkbox"/> For Temporary Use?	<input type="checkbox"/>	Hours	01/01/1982
Workers on Main Shift	<input type="text"/> <input type="checkbox"/> For Temporary Use?	<input type="checkbox"/>	No Units	01/01/1982
Number of PCs	<input type="text"/> <input type="checkbox"/> For Temporary Use?	<input type="checkbox"/>	No Units	01/01/1982
What percent of this space is air-conditioned?	Select <input type="checkbox"/> For Temporary Use?	<input type="checkbox"/>	No Units	01/01/1982
What percent of this space is heated?	Select <input type="checkbox"/> For Temporary Use?	<input type="checkbox"/>	No Units	01/01/1982

Groups and To improve age large ut it

Add Energy Use:

Start Date (MM/DD/YYYY)	End Date (MM/DD/YYYY)	Energy Use (kWh (thousand Watt-hour))
01/01/2006	01/31/2006	
02/01/2006	02/28/2006	
03/01/2006	03/31/2006	
04/01/2006	04/30/2006	
05/01/2006	05/31/2006	
06/01/2006	06/30/2006	
07/01/2006	07/31/2006	

Group Average Rating

Baseline Rating: N/A Current Rating: N/A
Facilities Included: 0 Facilities Included: 0

Group Adjusted Percent Energy Reduction

10.6%
Facilities Included: 1

Result 1 of 1

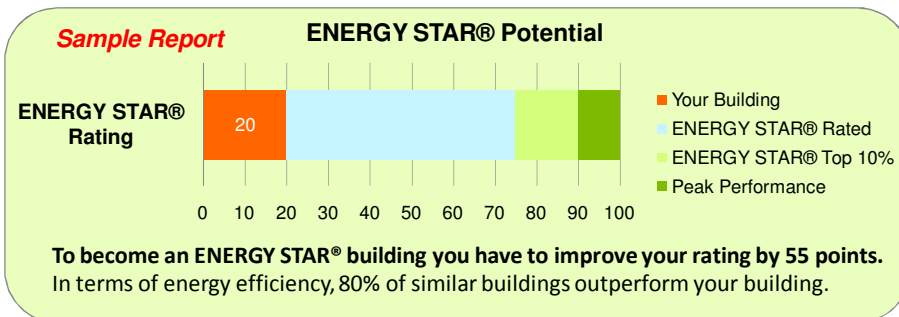
Facility Name	Current Energy Period Ending Date	Baseline Site Electric Use (kWh (thousand Watt-hours))	Baseline Source Energy Intensity (kBtu/Sq. Ft.)	Adjusted Energy Reduction (kBtu (thousand Btu))	Annual Energy Cost (US Dollars (\$))	Adjusted Energy Reduction per Sq. Ft. (kBtu/Sq. Ft.)	Adjusted Percent Energy Reduction
TC Home	04/30/2007			456,511.11	\$32,372.95	5.1	10 F Lock: OFF

47 Source: U.S. Environmental Protection Agency



The ENERGY STAR rating system

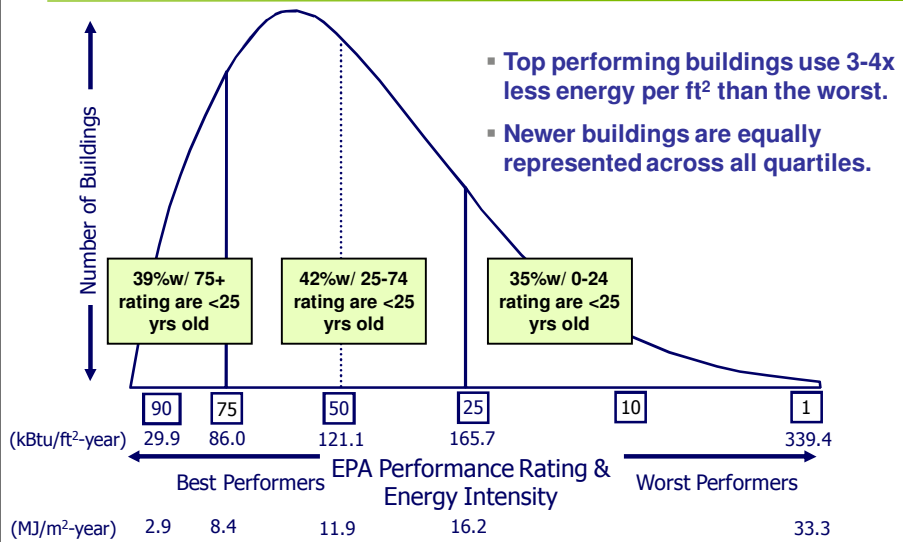
- Compares building to statistically representative models
- 50 represents the score for an average building
- 100 is the highest achievable score a building can achieve.
- To obtain ENERGY STAR status your building must have a rating of at least 75.



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The ENERGY STAR distribution curve



49 Based on a sample of 4,000 buildings nationwide; Source: U.S. Environmental Protection Agency; US DOE Commercial Building Energy Consumption Survey



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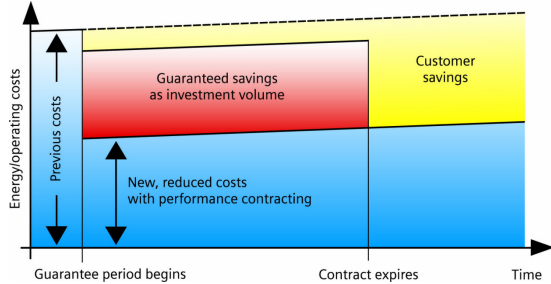
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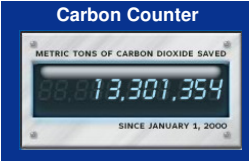


Whole building retrofits through performance contracting

a proven model for financing large-scale energy efficiency retrofit projects in the public sector based on guaranteed energy savings



- Johnson Controls is the largest ESCO in North America, with **\$4.7 billion** active cost saving guarantees
- Since 2000, we've delivered verified energy savings resulting in **13.3 million tons** of avoided GHG emissions



**Case Study:
Sustainable Renovation of Empire State Building**



102 stories, 2.8 million square feet

\$11 million annual energy costs

Peak electric demand of 9.5 MW
(3.8 W/sq ft including HVAC)

88 kBtu / sq ft / year in office space

CO₂ footprint of 25,000 tons per yr
(9 tons/1000 sq ft)

**Vision Leaderships +
Distinguished Team of Partners**

Owner's goals:

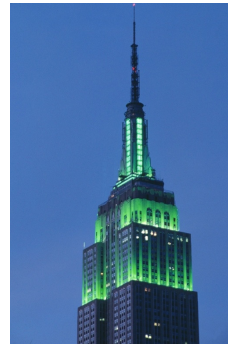
- 1) Reduce carbon footprint
- 2) Demonstrate business case w/ transparency
- 3) Create replicable model for holistic retrofits



“Addressing these investments correctly will create a competitive advantage for ownership through lower costs and better work environment for tenants...”

...Succeeding in these efforts will make a replicable model real for others to follow.”

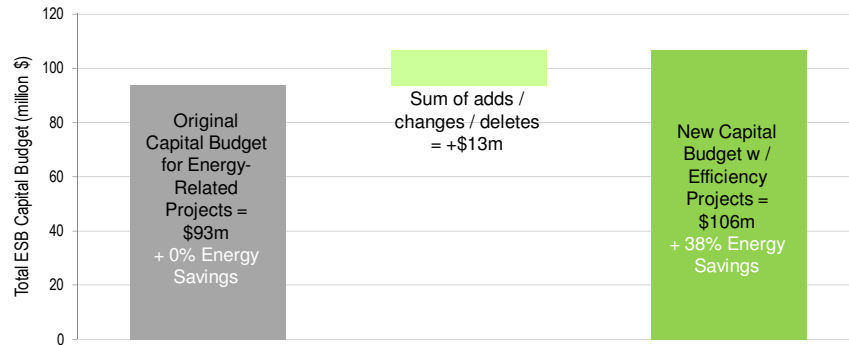
- Anthony E. Malkin



Demonstrating the Business Case

With a \$500 million capital improvement program underway, ownership decided to re-evaluate certain projects with cost-effective energy efficiency and sustainability opportunities in mind.

Capital Budget Adjustments for Energy Efficiency Projects



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Creating a Replicable Model and Process

Demonstrate how to cost-effectively retrofit a large multi-tenant office building to inspire others to embark on integrated retrofits.

1 Identify opportunities

- 60+ energy efficiency ideas were narrowed to 17 implementable projects
- Team estimated theoretical minimum energy use
- Developed eQUEST energy model

2 Evaluate measures

- Net present value
- Greenhouse gas savings
- Dollar to metric ton of carbon reduced
- Calculated for each measure

3 Create packages

- Maximize net present value
- Balance net present value and CO₂ savings
- Maximize CO₂ savings for a zero net present value
- Maximize CO₂ savings

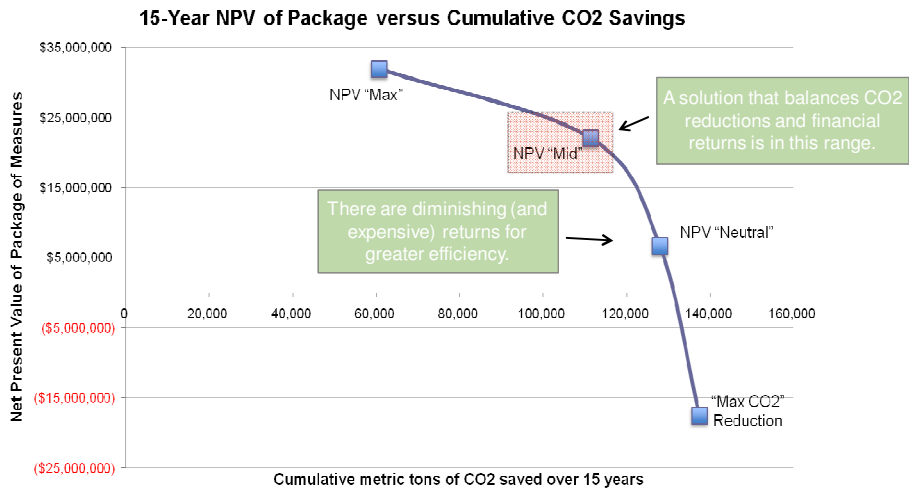
4 Model iteratively

- Iterative energy and financial modeling process to identify final eight recommendations

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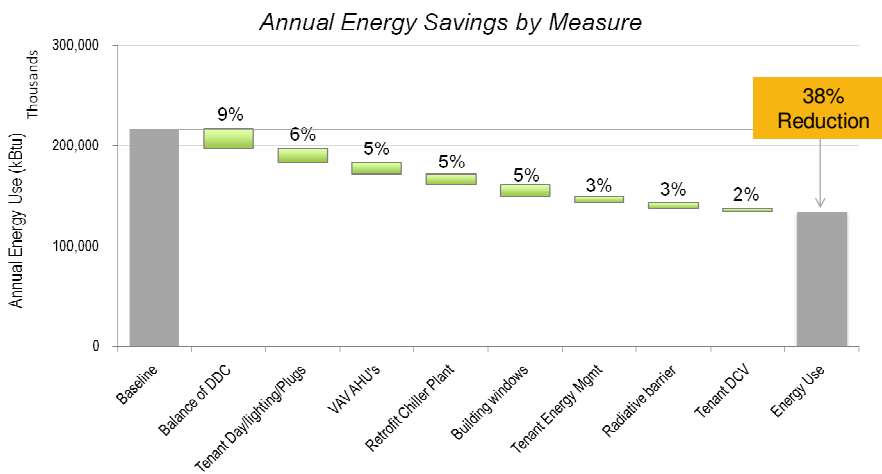
Balancing financial and carbon impacts



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A package of 8 interactive measures result in 38% savings

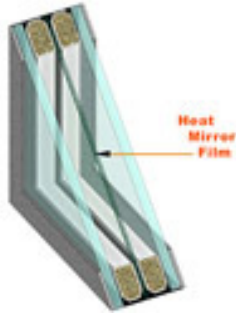


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Eight Interactive Measures

WINDOWS: Remanufacture existing insulated glass units (IGU) within the Empire State Building's approximately 6,500 double-hung windows to include suspended coated film and gas fill.



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Johnson
Controls

Eight Interactive Measures

RADIATIVE BARRIER: Install more than six-thousand insulated reflective barriers behind radiator units located on the perimeter of the building.



60

Johnson
Controls

Eight Interactive Measures

TENANT DAYLIGHTING / LIGHTING / PLUGS: Reducing lighting power density in tenant spaces, installing dimmable ballasts and photosensors for perimeter spaces, and providing occupants with a plug load occupancy sensor for their personal workstation.



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Eight Interactive Measures

CHILLER PLANT RETROFIT: Retrofit of four industrial electric chillers plus upgrades to controls, variable speed drives, and primary loop bypasses.

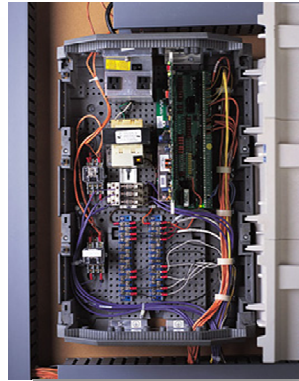


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Eight Interactive Measures

VAV AIR HANDLING UNITS: Replace existing constant volume units with variable air volume units using a new air handling layout (two floor-mounted units per floor instead of four ceiling-hung units).

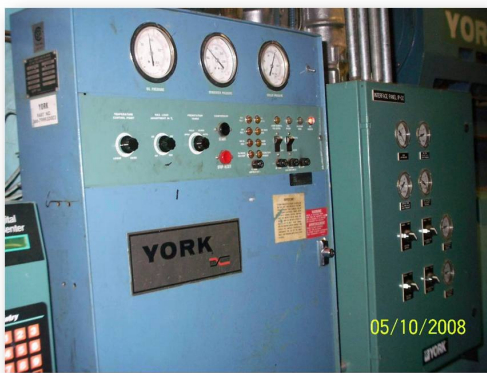


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Eight Interactive Measures

DDC CONTROLS: Upgrading the existing control systems to become *one of the largest wireless networks ever installed*. Real-time facilities performance index monitoring used for continuous commissioning of HVAC systems.



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Eight Interactive Measures

DEMAND CONTROL VENTILATION: Installation of CO2 sensors for control of outside air introduction to chiller water and DX Air Handling Units.

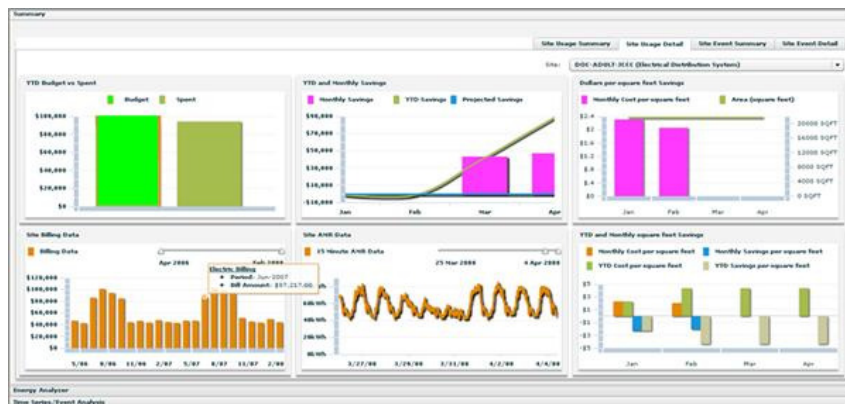


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Eight Interactive Measures

TENANT ENERGY MANAGEMENT: Provide tenants with information feedback on energy consumption, benchmarking, as well as sustainability tips and interactive guidance from an energy engineer through a web-based portal.



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Expected Results

\$4.4 million
annual energy cost savings

Top 10%
of commercial buildings in efficiency

38%
energy reduced annually

3.1 years
payback incremental cost

105,000
metric tons carbon emissions saved
over next 15 years



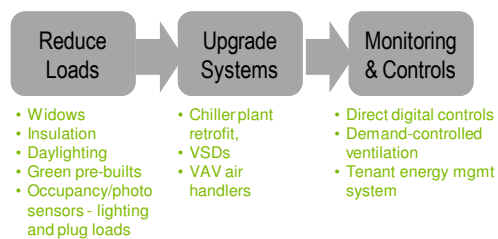
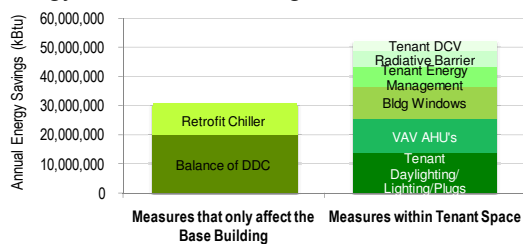
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Lessons Learned from Empire State Building project

For maximum cost-effective energy and carbon savings:

- 1) Can't overlook tenant spaces:
 - a) Phasing over time;
 - b) Enabling technology for information feedback;
 - c) Lease structures to align incentives
- 2) Take the right steps in the right order for holistic integrated design
- 3) Align with capital plan to match with renovation cycles



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Agenda for Today's Discussion

- Introduction to Johnson Controls Viewpoint
- Commercial Building Energy Use & Impact
- A "Whole Systems" Approach to Building Efficiency
- HVAC Systems Overview
- ENERGY STAR Standards
- Case study: Empire State Building
- Case study: Johnson Controls HQ Campus
- Case study: IDeAS Net Zero Energy Building

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Case Study: Johnson Controls Global Headquarters



34 acre site, 500,000 sf total building footprint



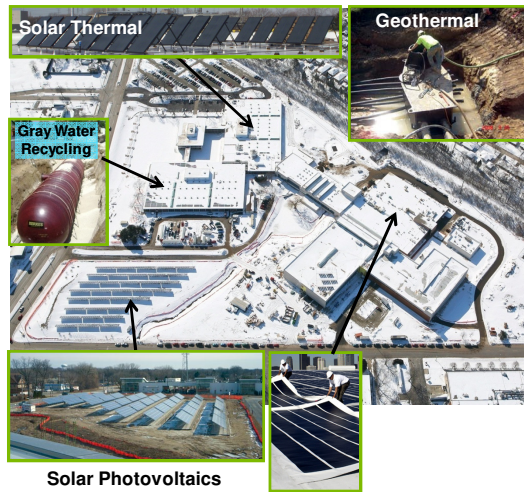
Targeting

- 4 LEED-NC v2.2 Platinum buildings
- 1 LEED-EBOM v2.0 Platinum building
- >90th percentile EnergyStar ratings

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Integrated Green Design Showcase



- Targeting highest concentration of **LEED Platinum** certified buildings (4 NC, 1 EB) in world
- >90th %tile **Energy Star** ratings
- 272 wells for ground-source heat pumps reduce energy by 29%
- Extensive daylight harvesting and shade control
- 385 kW combined ground and roof mounted photovoltaic arrays (Wisconsin's largest PV system)
- 1,330 sf solar thermal collectors used to heat hot water in building
- BMS integration to IT monitoring system in data center

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Advanced HVAC/Controls

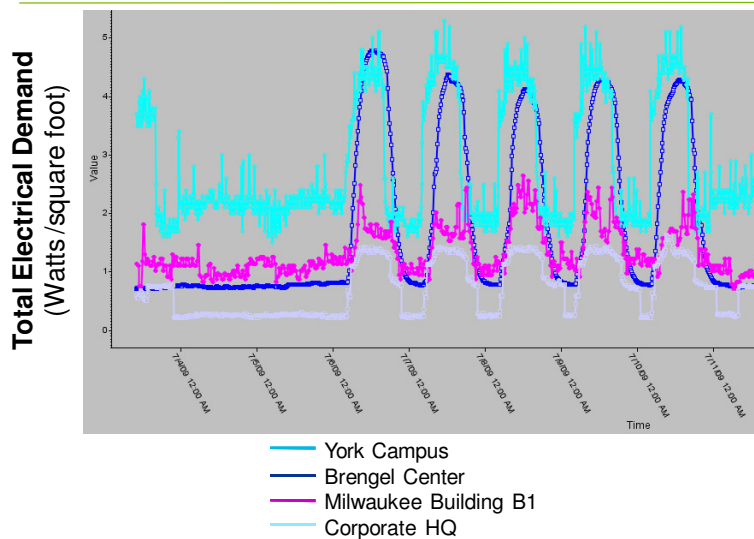
- Under floor air distribution system that can be easily reconfigured
- Daylight harvesting and shade controls
- Personal environment modules
- Semi-automated demand response
- BMS ↔ IT asset mgmt system integration in data center
- BMS ↔ Work order management system



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Actual performance compared to other facilities



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Case Study:
Integrated Design Associates (IDeAS) Building

Commercial office building renovation in San Jose, CA

Geothermal heat pump with floor-based radiant heating and cooling and dedicated high efficiency outdoor air ventilation unit

PV-integrated membrane roofing

High efficiency windows and lighting with dimming control

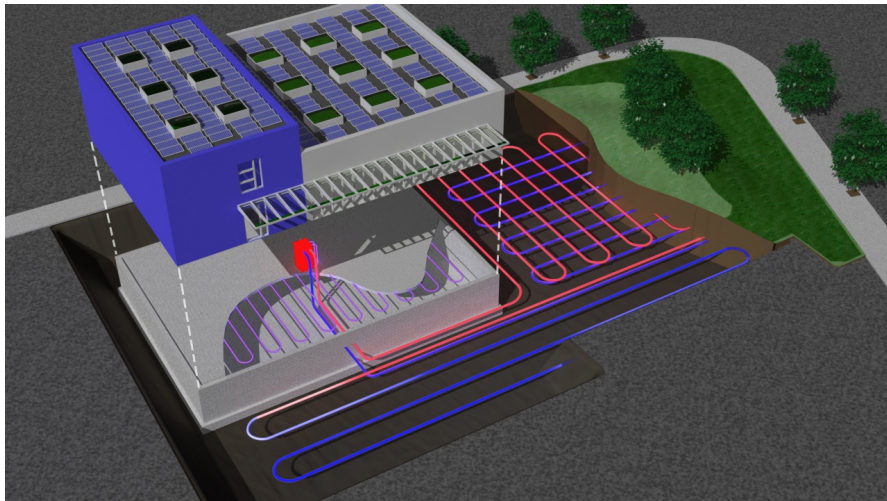
40% better than 2005 California Title 24 energy requirements



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IDeAS Net Zero Energy Building



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IDeAS Net Zero Energy Building



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Movement toward Net Zero Energy Buildings

- There are **8 documented** net-zero commercial buildings in the U.S.
- There are several more throughout the rest of the world
- We expect that number to grow significantly over the next few years
- Europe's recast **Energy Performance in Buildings Directive** requires all new construction to be NZE by 2019
- The **technology is there**, design processes have been identified
- What it takes is a **motivated owner** – someone who wants to get it done

WHITE PAPER

Absolute Zero

Net Zero Energy commercial buildings – an inspiring vision for today.

Clay Nesler
VICE PRESIDENT, GLOBAL ENERGY & SUSTAINABILITY

Anne Shudy Palmer
SENIOR RESEARCH ASSOCIATE

Johnson Controls, Inc.

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Questions ?



Contact: Derek Supple
Johnson Controls
414-524-6257
derek.supple@jci.com